

**CENTER FOR DRUG EVALUATION AND  
RESEARCH**

*APPLICATION NUMBER:*

**20-449/s-029**

**ADMINISTRATIVE DOCUMENTS AND**  
**CORRESPONDENCE**

*Aventis Pharmaceuticals*



**Aventis Pharmaceuticals, Inc.**  
200 Crossings Blvd., P.O. Box 6890  
Bridgewater, NJ 08807-0890

**Patent Information and Certification**

Forms FDA 3542a for the following patents are included in Section 1.4.2:

United States Patent No. 4,814,470  
United States Patent No. 5,438,072  
United States Patent No. 5,714,512  
United States Patent No. 5,698,582

*Aventis Pharmaceuticals*



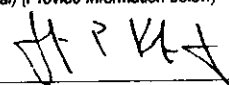
**Aventis Pharmaceuticals, Inc.**  
200 Crossings Blvd., P.O. Box 6890  
Bridgewater, NJ 08807-0890

**Patent Information and Certification**

Forms FDA 3542a for United States Patent No. 4,814,470

Department of Health and Human Services Food and Drug Administration		Form Approved: OMB No. 0910-0513 Expiration Date: 07/31/06 See OMB Statement on Page 3.	
<b>PATENT INFORMATION SUBMITTED WITH THE          FILING OF AN NDA, AMENDMENT, OR SUPPLEMENT</b> <b>For Each Patent That Claims a Drug Substance          (Active Ingredient), Drug Product (Formulation and          Composition) and/or Method of Use</b>		NDA NUMBER 20-449 (Supplemental - Breast adjuvant) NAME OF APPLICANT / NDA HOLDER Aventis Pharmaceuticals Inc.	
<i>The following is provided in accordance with Section 505(b) and (c) of the Federal Food, Drug, and Cosmetic Act.</i>			
TRADE NAME (OR PROPOSED TRADE NAME) Taxotere®			
ACTIVE INGREDIENT(S) Docetaxel		STRENGTH(S) Single dose vials containing 20mg(0.5 ml) or 80mg(2.0 ml) EQ 40mg Base/ml	
DOSAGE FORM Sterile Solution			
This patent declaration form is required to be submitted to the Food and Drug Administration (FDA) with an NDA application, amendment, or supplement as required by 21 CFR 314.53 at the address provided in 21 CFR 314.53(d)(4). Within thirty (30) days after approval of an NDA or supplement, or within thirty (30) days of issuance of a new patent, a new patent declaration must be submitted pursuant to 21 CFR 314.53(c)(2)(ii) with all of the required information based on the approved NDA or supplement. The information submitted in the declaration form submitted upon or after approval will be the only information relied upon by FDA for listing a patent in the Orange Book.			
For hand-written or typewriter versions (only) of this report: If additional space is required for any narrative answer (i.e., one that does not require a "Yes" or "No" response), please attach an additional page referencing the question number.			
FDA will not list patent information if you file an incomplete patent declaration or the patent declaration indicates the patent is not eligible for listing.			
For each patent submitted for the pending NDA, amendment, or supplement referenced above, you must submit all the information described below. If you are not submitting any patents for this pending NDA, amendment, or supplement, complete above section and sections 5 and 6.			
<b>1. GENERAL</b>			
a. United States Patent Number 4,814,470		b. Issue Date of Patent 3/21/1989	
		c. Expiration Date of Patent 5/14/2010	
d. Name of Patent Owner Aventis Pharma S.A.		Address (of Patent Owner) 20 avenue Raymond Aron City/State 92160 Antony France ZIP Code Telephone Number 011 49 69 305 6181	
		FAX Number (if available) 011 49 69 305 80556 E-Mail Address (if available) markus.jacobi@aventis.com	
e. Name of agent or representative who resides or maintains a place of business within the United States authorized to receive notice of patent certification under section 505(b)(3) and (j)(2)(B) of the Federal Food, Drug, and Cosmetic Act and 21 CFR 314.52 and 314.95 (if patent owner or NDA applicant/holder does not reside or have a place of business within the United States) Louis J. Wille Vice President, Global Patent Litigation		Address (of agent or representative named in f.e.) Aventis Pharmaceuticals Inc. 1041 Route 202-206 - P.O. Box 6800 City/State Bridgewater, NJ ZIP Code 08807-0800 Telephone Number 908 231-5721	
		FAX Number (if available) 908 231-2691 E-Mail Address (if available) lou.wille@aventis.com	
f. Is the patent referenced above a patent that has been submitted previously for the approved NDA or supplement referenced above?		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
g. If the patent referenced above has been submitted previously for listing, is the expiration date a new expiration date?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

For the patent referenced above, provide the following information on the drug substance, drug product and/or method of use that is the subject of the pending NDA, amendment, or supplement.	
<b>2. Drug Substance (Active Ingredient)</b>	
2.1 Does the patent claim the drug substance that is the active ingredient in the drug product described in the pending NDA, amendment, or supplement?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
2.2 Does the patent claim a drug substance that is a different polymorph of the active ingredient described in the pending NDA, amendment, or supplement?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
2.3 If the answer to question 2.2 is "Yes," do you certify that, as of the date of this declaration, you have test data demonstrating that a drug product containing the polymorph will perform the same as the drug product described in the NDA? The type of test data required is described at 21 CFR 314.53(b).	<input type="checkbox"/> Yes <input type="checkbox"/> No
2.4 Specify the polymorphic form(s) claimed by the patent for which you have the test results described in 2.3.	
2.5 Does the patent claim only a metabolite of the active ingredient pending in the NDA or supplement? (Complete the information in section 4 below if the patent claims a pending method of using the pending drug product to administer the metabolite.)	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
2.6 Does the patent claim only an intermediate?	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
2.7 If the patent referenced in 2.1 is a product-by-process patent, is the product claimed in the patent novel? (An answer is required only if the patent is a product-by-process patent.)	
<input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>3. Drug Product (Composition/Formulation)</b>	
3.1 Does the patent claim the drug product, as defined in 21 CFR 314.3, in the pending NDA, amendment, or supplement?	
<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
3.2 Does the patent claim only an intermediate?	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
3.3 If the patent referenced in 3.1 is a product-by-process patent, is the product claimed in the patent novel? (An answer is required only if the patent is a product-by-process patent.)	
<input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>4. Method of Use</b>	
Sponsors must submit the information in section 4 separately for each patent claim claiming a method of using the pending drug product for which approval is being sought. For each method of use claim referenced, provide the following information:	
4.1 Does the patent claim one or more methods of use for which approval is being sought in the pending NDA, amendment, or supplement?	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
4.2 Patent Claim Number (as listed in the patent)	Does the patent claim referenced in 4.2 claim a pending method of use for which approval is being sought in the pending NDA, amendment, or supplement?
	<input type="checkbox"/> Yes <input type="checkbox"/> No
4.2a If the answer to 4.2 is "Yes," identify with specificity the use with reference to the proposed labeling for the drug product.	Use: (Submit indication or method of use information as identified specifically in the approved labeling.)
<b>5. No Relevant Patents</b>	
For this pending NDA, amendment, or supplement, there are no relevant patents that claim the drug substance (active ingredient), drug product (formulation or composition) or method(s) of use, for which the applicant is seeking approval and with respect to which a claim of patent infringement could reasonably be asserted if a person not licensed by the owner of the patent engaged in the manufacture, use, or sale of the drug product.	
<input type="checkbox"/> Yes	

<b>6. Declaration Certification</b>	
<b>6.1 The undersigned declares that this is an accurate and complete submission of patent information for the NDA, amendment, or supplement pending under section 505 of the Federal Food, Drug, and Cosmetic Act. This time-sensitive patent information is submitted pursuant to 21 CFR 314.53. I attest that I am familiar with 21 CFR 314.53 and this submission complies with the requirements of the regulation. I verify under penalty of perjury that the foregoing is true and correct.</b> <b>Warning: A willfully and knowingly false statement is a criminal offense under 18 U.S.C. 1001.</b>	
<b>6.2 Authorized Signature of NDA Applicant/Holder or Patent Owner (Attorney, Agent, Representative or other Authorized Official) (Provide Information below)</b> 	<b>Date Signed</b> 11/17/2003
<b>NOTE: Only an NDA applicant/holder may submit this declaration directly to the FDA. A patent owner who is not the NDA applicant/holder is authorized to sign the declaration but may not submit it directly to FDA. 21 CFR 314.53(c)(4) and (d)(4).</b>	
<b>Check applicable box and provide information below.</b>	
<input checked="" type="checkbox"/> NDA Applicant/Holder	<input type="checkbox"/> NDA Applicant's/Holder's Attorney, Agent (Representative) or other Authorized Official
<input type="checkbox"/> Patent Owner	<input type="checkbox"/> Patent Owner's Attorney, Agent (Representative) or Other Authorized Official
<b>Name</b> Joseph P. Kirk Jr.	
<b>Address</b> Aventis Pharmaceuticals Inc. 1041 Route 202-206 - P.O. Box 6800	<b>City/State</b> Bridgewater, New Jersey
<b>ZIP Code</b> 08807-0800	<b>Telephone Number</b> 908 231-5916
<b>FAX Number (if available)</b> 908 231-2840	<b>E-Mail Address (if available)</b> joseph.kirk@aventis.com
<p>The public reporting burden for this collection of information has been estimated to average 9 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to:</p> <p style="text-align: center;">Food and Drug Administration CDER (HFD-007) 5600 Fishers Lane Rockville, MD 20857</p> <p style="text-align: center;"><i>An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.</i></p>	

Notes to Form FDA 3542a for U.S. Patent 4,814,470 submitted for sNDA 20-449  
(Taxotere®) (Supplemental – Breast adjuvant)

Note to Question 2.2: U.S. Patent No.4,814,470 claims the active ingredient of the drug product Taxotere® as a compound, and these claims are not limited to specific polymorphic forms. However, the patent does not specifically claim any particular polymorph of the active ingredient, and therefore the answer to Question 2.2 is “no”.

*Aventis Pharmaceuticals*



**Aventis Pharmaceuticals, Inc.**  
200 Crossings Blvd., P.O. Box 6890  
Bridgewater, NJ 08807-0890

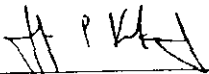
**Patent Information and Certification**

Forms FDA 3542a for United States Patent No. 5,438,072



Department of Health and Human Services Food and Drug Administration		Form Approved: OMB No. 0910-0513 Expiration Date: 07/31/06 See OMB Statement on Page 3.	
<b>PATENT INFORMATION SUBMITTED WITH THE          FILING OF AN NDA, AMENDMENT, OR SUPPLEMENT</b> <i>For Each Patent That Claims a Drug Substance          (Active Ingredient), Drug Product (Formulation and          Composition) and/or Method of Use</i>		NDA NUMBER 20-449 (Supplemental - Breast adjuvant)	
		NAME OF APPLICANT / NDA HOLDER Aventis Pharmaceuticals Inc.	
<i>The following is provided in accordance with Section 505(b) and (c) of the Federal Food, Drug, and Cosmetic Act</i>			
TRADE NAME (OR PROPOSED TRADE NAME) Taxotere®			
ACTIVE INGREDIENT(S) Docetaxel		STRENGTH(S) Single dose vials containing 20mg(0.5 ml) or 80mg(2.0 ml) EQ 40mg Base/ml	
DOSAGE FORM Sterile Solution			
This patent declaration form is required to be submitted to the Food and Drug Administration (FDA) with an NDA application, amendment, or supplement as required by 21 CFR 314.53 at the address provided in 21 CFR 314.53(d)(4). Within thirty (30) days after approval of an NDA or supplement, or within thirty (30) days of issuance of a new patent, a new patent declaration must be submitted pursuant to 21 CFR 314.53(c)(2)(ii) with all of the required information based on the approved NDA or supplement. The information submitted in the declaration form submitted upon or after approval will be the only information relied upon by FDA for listing a patent in the Orange Book.			
For hand-written or typewriter versions (only) of this report: If additional space is required for any narrative answer (i.e., one that does not require a "Yes" or "No" response), please attach an additional page referencing the question number.			
FDA will not list patent information if you file an incomplete patent declaration or the patent declaration indicates the patent is not eligible for listing.			
For each patent submitted for the pending NDA, amendment, or supplement referenced above, you must submit all the information described below. If you are not submitting any patents for this pending NDA, amendment, or supplement, complete above section and sections 5 and 6.			
<b>1. GENERAL</b>			
a. United States Patent Number 5,438,072		b. Issue Date of Patent 8/1/1995	
		c. Expiration Date of Patent 11/22/2013	
d. Name of Patent Owner Aventis Pharma S.A.		Address (of Patent Owner) 20 avenue Raymond Aron	
		City/State 92160 Antony France	
		ZIP Code	FAX Number (if available) 011 49 69 305 80556
		Telephone Number 011 49 69 305 6181	E-Mail Address (if available) markus.jacobi@aventis.com
e. Name of agent or representative who resides or maintains a place of business within the United States authorized to receive notice of patent certification under section 505(b)(3) and (j)(2)(B) of the Federal Food, Drug, and Cosmetic Act and 21 CFR 314.52 and 314.95 (if patent owner or NDA applicant/holder does not reside or have a place of business within the United States) Louis J. Wille Vice President, Global Patent Litigation		Address (of agent or representative named in f.e.) Aventis Pharmaceuticals Inc. 1041 Route 202-206 - P.O. Box 6800 City/State Bridgewater, NJ	
		ZIP Code 08807-0800	FAX Number (if available) 908 231-2691
		Telephone Number 908 231-5721	E-Mail Address (if available) lou.wille@aventis.com
f. Is the patent referenced above a patent that has been submitted previously for the approved NDA or supplement referenced above?		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
g. If the patent referenced above has been submitted previously for listing, is the expiration date a new expiration date?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

<b>For the patent referenced above, provide the following information on the drug substance, drug product and/or method of use that is the subject of the pending NDA, amendment, or supplement.</b>	
<b>2. Drug Substance (Active Ingredient)</b>	
2.1 Does the patent claim the drug substance that is the active ingredient in the drug product described in the pending NDA, amendment, or supplement?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
2.2 Does the patent claim a drug substance that is a different polymorph of the active ingredient described in the pending NDA, amendment, or supplement?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
2.3 If the answer to question 2.2 is "Yes," do you certify that, as of the date of this declaration, you have test data demonstrating that a drug product containing the polymorph will perform the same as the drug product described in the NDA? The type of test data required is described at 21 CFR 314.53(b).	<input type="checkbox"/> Yes <input type="checkbox"/> No
2.4 Specify the polymorphic form(s) claimed by the patent for which you have the test results described in 2.3.	
2.5 Does the patent claim only a metabolite of the active ingredient pending in the NDA or supplement? (Complete the information in section 4 below if the patent claims a pending method of using the pending drug product to administer the metabolite.)	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
2.6 Does the patent claim only an intermediate?	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
2.7 If the patent referenced in 2.1 is a product-by-process patent, is the product claimed in the patent novel? (An answer is required only if the patent is a product-by-process patent.)	
<input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>3. Drug Product (Composition/Formulation)</b>	
3.1 Does the patent claim the drug product, as defined in 21 CFR 314.3, in the pending NDA, amendment, or supplement?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
3.2 Does the patent claim only an intermediate?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3.3 If the patent referenced in 3.1 is a product-by-process patent, is the product claimed in the patent novel? (An answer is required only if the patent is a product-by-process patent.)	<input type="checkbox"/> Yes <input type="checkbox"/> No
<b>4. Method of Use</b>	
<b>Sponsors must submit the information in section 4 separately for each patent claim claiming a method of using the pending drug product for which approval is being sought. For each method of use claim referenced, provide the following information:</b>	
4.1 Does the patent claim one or more methods of use for which approval is being sought in the pending NDA, amendment, or supplement?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
4.2 Patent Claim Number (as listed in the patent)	Does the patent claim referenced in 4.2 claim a pending method of use for which approval is being sought in the pending NDA, amendment, or supplement?
	<input type="checkbox"/> Yes <input type="checkbox"/> No
4.2a If the answer to 4.2 is "Yes," identify with specificity the use with reference to the proposed labeling for the drug product.	Use: (Submit indication or method of use information as identified specifically in the approved labeling.)
<b>5. No Relevant Patents</b>	
For this pending NDA, amendment, or supplement, there are no relevant patents that claim the drug substance (active ingredient), drug product (formulation or composition) or method(s) of use, for which the applicant is seeking approval and with respect to which a claim of patent infringement could reasonably be asserted if a person not licensed by the owner of the patent engaged in the manufacture, use, or sale of the drug product.	
<input type="checkbox"/> Yes	

<b>6. Declaration Certification</b>	
<b>6.1 The undersigned declares that this is an accurate and complete submission of patent information for the NDA, amendment, or supplement pending under section 505 of the Federal Food, Drug, and Cosmetic Act. This time-sensitive patent information is submitted pursuant to 21 CFR 314.53. I attest that I am familiar with 21 CFR 314.53 and this submission complies with the requirements of the regulation. I verify under penalty of perjury that the foregoing is true and correct.</b> <b>Warning: A willfully and knowingly false statement is a criminal offense under 18 U.S.C. 1001.</b>	
<b>6.2 Authorized Signature of NDA Applicant/Holder or Patent Owner (Attorney, Agent, Representative or other Authorized Official) (Provide Information below)</b> 	<b>Date Signed</b> 11/17/2003
<b>NOTE: Only an NDA applicant/holder may submit this declaration directly to the FDA. A patent owner who is not the NDA applicant/holder is authorized to sign the declaration but may not submit it directly to FDA. 21 CFR 314.53(c)(4) and (d)(4).</b>	
Check applicable box and provide information below.	
<input checked="" type="checkbox"/> NDA Applicant/Holder	<input type="checkbox"/> NDA Applicant's/Holder's Attorney, Agent (Representative) or other Authorized Official
<input type="checkbox"/> Patent Owner	<input type="checkbox"/> Patent Owner's Attorney, Agent (Representative) or Other Authorized Official
<b>Name</b> Joseph P. Kirk Jr.	
<b>Address</b> Aventis Pharmaceuticals Inc. 1041 Route 202-206 - P.O. Box 6800	<b>City/State</b> Bridgewater, New Jersey
<b>ZIP Code</b> 08807-0800	<b>Telephone Number</b> 908 231-5916
<b>FAX Number (if available)</b> 908 231-2840	<b>E-Mail Address (if available)</b> joseph.kirk@aventis.com
<p>The public reporting burden for this collection of information has been estimated to average 9 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to:</p> <p style="text-align: center;">Food and Drug Administration CDER (HFD-007) 5600 Fishers Lane Rockville, MD 20857</p> <p style="text-align: center;"><i>An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.</i></p>	

*Aventis Pharmaceuticals*



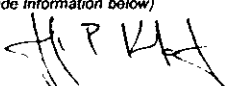
**Aventis Pharmaceuticals, Inc.**  
200 Crossings Blvd., P.O. Box 6890  
Bridgewater, NJ 08807-0890

**Patent Information and Certification**

Forms FDA 3542a for United States Patent No. 5,714,512.

Department of Health and Human Services Food and Drug Administration		Form Approved: OMB No. 0910-0513 Expiration Date: 07/31/06 See OMB Statement on Page 3.	
<b>PATENT INFORMATION SUBMITTED WITH THE          FILING OF AN NDA, AMENDMENT, OR SUPPLEMENT</b> <b>For Each Patent That Claims a Drug Substance          (Active Ingredient), Drug Product (Formulation and          Composition) and/or Method of Use</b>		NDA NUMBER 20-449 (Supplemental - Breast adjuvant)	
		NAME OF APPLICANT / NDA HOLDER Aventis Pharmaceuticals Inc.	
<i>The following is provided in accordance with Section 505(b) and (c) of the Federal Food, Drug, and Cosmetic Act.</i>			
TRADE NAME (OR PROPOSED TRADE NAME) Taxotere®			
ACTIVE INGREDIENT(S) Docetaxel		STRENGTH(S) Single dose vials containing 20mg(0.5 ml) or 80mg(2.0 ml) EQ 40mg Base/ml	
DOSAGE FORM Sterile Solution			
This patent declaration form is required to be submitted to the Food and Drug Administration (FDA) with an NDA application, amendment, or supplement as required by 21 CFR 314.53 at the address provided in 21 CFR 314.53(d)(4). Within thirty (30) days after approval of an NDA or supplement, or within thirty (30) days of issuance of a new patent, a new patent declaration must be submitted pursuant to 21 CFR 314.53(c)(2)(ii) with all of the required information based on the approved NDA or supplement. The information submitted in the declaration form submitted upon or after approval will be the only information relied upon by FDA for listing a patent in the Orange Book.			
For hand-written or typewriter versions (only) of this report: If additional space is required for any narrative answer (i.e., one that does not require a "Yes" or "No" response), please attach an additional page referencing the question number.			
FDA will not list patent information if you file an incomplete patent declaration or the patent declaration indicates the patent is not eligible for listing.			
For each patent submitted for the pending NDA, amendment, or supplement referenced above, you must submit all the information described below. If you are not submitting any patents for this pending NDA, amendment, or supplement, complete above section and sections 5 and 6.			
<b>1. GENERAL</b>			
a. United States Patent Number 5,714,512		b. Issue Date of Patent 2/3/1998	
		c. Expiration Date of Patent 7/3/2012	
d. Name of Patent Owner Aventis Pharma S.A.		Address (of Patent Owner) 20 avenue Raymond Aron	
		City/State 92160 Antony France	
		ZIP Code	FAX Number (if available) 011 49 69 305 80556
		Telephone Number 011 49 69 305 6181	E-Mail Address (if available) markus.jacobi@aventis.com
e. Name of agent or representative who resides or maintains a place of business within the United States authorized to receive notice of patent certification under section 505(b)(3) and (j)(2)(B) of the Federal Food, Drug, and Cosmetic Act and 21 CFR 314.52 and 314.95 (if patent owner or NDA applicant/holder does not reside or have a place of business within the United States)		Address (of agent or representative named in 1 e.) Aventis Pharmaceuticals Inc. 1041 Route 202-206 - P.O. Box 6800	
Louis J. Wille Vice President, Global Patent Litigation		City/State Bridgewater, NJ	
		ZIP Code 08807-0800	FAX Number (if available) 908 231-2691
		Telephone Number 908 231-5721	E-Mail Address (if available) lou.wille@aventis.com
f. Is the patent referenced above a patent that has been submitted previously for the approved NDA or supplement referenced above?		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
g. If the patent referenced above has been submitted previously for listing, is the expiration date a new expiration date?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

For the patent referenced above, provide the following information on the drug substance, drug product and/or method of use that is the subject of the pending NDA, amendment, or supplement.	
<b>2. Drug Substance (Active Ingredient)</b>	
2.1 Does the patent claim the drug substance that is the active ingredient in the drug product described in the pending NDA, amendment, or supplement?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
2.2 Does the patent claim a drug substance that is a different polymorph of the active ingredient described in the pending NDA, amendment, or supplement?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
2.3 If the answer to question 2.2 is "Yes," do you certify that, as of the date of this declaration, you have test data demonstrating that a drug product containing the polymorph will perform the same as the drug product described in the NDA? The type of test data required is described at 21 CFR 314.53(b).	<input type="checkbox"/> Yes <input type="checkbox"/> No
2.4 Specify the polymorphic form(s) claimed by the patent for which you have the test results described in 2.3.	
2.5 Does the patent claim only a metabolite of the active ingredient pending in the NDA or supplement? (Complete the information in section 4 below if the patent claims a pending method of using the pending drug product to administer the metabolite.)	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
2.6 Does the patent claim only an intermediate?	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
2.7 If the patent referenced in 2.1 is a product-by-process patent, is the product claimed in the patent novel? (An answer is required only if the patent is a product-by-process patent.)	
<input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>3. Drug Product (Composition/Formulation)</b>	
3.1 Does the patent claim the drug product, as defined in 21 CFR 314.3, in the pending NDA, amendment, or supplement?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
3.2 Does the patent claim only an intermediate?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3.3 If the patent referenced in 3.1 is a product-by-process patent, is the product claimed in the patent novel? (An answer is required only if the patent is a product-by-process patent.)	<input type="checkbox"/> Yes <input type="checkbox"/> No
<b>4. Method of Use</b>	
Sponsors must submit the information in section 4 separately for each patent claim claiming a method of using the pending drug product for which approval is being sought. For each method of use claim referenced, provide the following information:	
4.1 Does the patent claim one or more methods of use for which approval is being sought in the pending NDA, amendment, or supplement?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
4.2 Patent Claim Number (as listed in the patent)	Does the patent claim referenced in 4.2 claim a pending method of use for which approval is being sought in the pending NDA, amendment, or supplement? <input type="checkbox"/> Yes <input type="checkbox"/> No
4.2a If the answer to 4.2 is "Yes," identify with specificity the use with reference to the proposed labeling for the drug product	Use: (Submit indication or method of use information as identified specifically in the approved labeling)
<b>5. No Relevant Patents</b>	
For this pending NDA, amendment, or supplement, there are no relevant patents that claim the drug substance (active ingredient), drug product (formulation or composition) or method(s) of use, for which the applicant is seeking approval and with respect to which a claim of patent infringement could reasonably be asserted if a person not licensed by the owner of the patent engaged in the manufacture, use, or sale of the drug product.	
<input type="checkbox"/> Yes	

<b>6. Declaration Certification</b>	
<b>6.1 The undersigned declares that this is an accurate and complete submission of patent information for the NDA, amendment, or supplement pending under section 505 of the Federal Food, Drug, and Cosmetic Act. This time-sensitive patent information is submitted pursuant to 21 CFR 314.53. I attest that I am familiar with 21 CFR 314.53 and this submission complies with the requirements of the regulation. I verify under penalty of perjury that the foregoing is true and correct.</b> <b>Warning: A willfully and knowingly false statement is a criminal offense under 18 U.S.C. 1001.</b>	
<b>6.2 Authorized Signature of NDA Applicant/Holder or Patent Owner (Attorney, Agent, Representative or other Authorized Official) (Provide information below)</b> 	<b>Date Signed</b> 11/17/2003
<b>NOTE: Only an NDA applicant/holder may submit this declaration directly to the FDA. A patent owner who is not the NDA applicant/holder is authorized to sign the declaration but may not submit it directly to FDA. 21 CFR 314.53(c)(4) and (d)(4).</b>	
Check applicable box and provide information below.	
<input checked="" type="checkbox"/> NDA Applicant/Holder	<input type="checkbox"/> NDA Applicant's/Holder's Attorney, Agent (Representative) or other Authorized Official
<input type="checkbox"/> Patent Owner	<input type="checkbox"/> Patent Owner's Attorney, Agent (Representative) or Other Authorized Official
<b>Name</b> Joseph P. Kirk Jr.	
<b>Address</b> Aventis Pharmaceuticals Inc. 1041 Route 202-206 - P.O. Box 6800	<b>City/State</b> Bridgewater, New Jersey
<b>ZIP Code</b> 08807-0800	<b>Telephone Number</b> 908 231-5916
<b>FAX Number (if available)</b> 908 231-2840	<b>E-Mail Address (if available)</b> joseph.kirk@aventis.com
<p>The public reporting burden for this collection of information has been estimated to average 9 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to:</p> <p style="text-align: center;">Food and Drug Administration CDER (HFD-007) 5600 Fishers Lane Rockville, MD 20857</p> <p style="text-align: center;"><i>An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number</i></p>	

*Aventis Pharmaceuticals*



**Aventis Pharmaceuticals, Inc.**  
200 Crossings Blvd., P.O. Box 6890  
Bridgewater, NJ 08807-0890

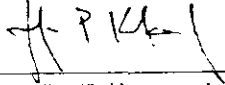
**Patent Information and Certification**

Forms FDA 3542a for United States Patent No. 5,698,582.



Department of Health and Human Services Food and Drug Administration  <b>PATENT INFORMATION SUBMITTED WITH THE          FILING OF AN NDA, AMENDMENT, OR SUPPLEMENT</b> <i>For Each Patent That Claims a Drug Substance          (Active Ingredient), Drug Product (Formulation and          Composition) and/or Method of Use</i>		Form Approved: OMB No. 0910-0513 Expiration Date: 07/31/06 See OMB Statement on Page 3.	
		NDA NUMBER 20-449 (Supplemental - Breast adjuvant)	
		NAME OF APPLICANT / NDA HOLDER Aventis Pharmaceuticals Inc.	
<b>The following is provided in accordance with Section 505(b) and (c) of the Federal Food, Drug, and Cosmetic Act.</b>			
TRADE NAME (OR PROPOSED TRADE NAME) Taxotere®			
ACTIVE INGREDIENT(S) Docetaxel		STRENGTH(S) Single dose vials containing 20mg(0.5 ml) or 80mg(2.0 ml) EQ 40mg Base/ml	
DOSAGE FORM Sterile Solution			
This patent declaration form is required to be submitted to the Food and Drug Administration (FDA) with an NDA application, amendment, or supplement as required by 21 CFR 314.53 at the address provided in 21 CFR 314.53(d)(4). Within thirty (30) days after approval of an NDA or supplement, or within thirty (30) days of issuance of a new patent, a new patent declaration must be submitted pursuant to 21 CFR 314.53(c)(2)(ii) with all of the required information based on the approved NDA or supplement. The information submitted in the declaration form submitted upon or after approval will be the only information relied upon by FDA for listing a patent in the Orange Book.			
<b>For hand-written or typewriter versions (only) of this report:</b> If additional space is required for any narrative answer (i.e., one that does not require a "Yes" or "No" response), please attach an additional page referencing the question number.			
<b>FDA will not list patent information if you file an incomplete patent declaration or the patent declaration indicates the patent is not eligible for listing.</b>			
<b>For each patent submitted for the pending NDA, amendment, or supplement referenced above, you must submit all the information described below. If you are not submitting any patents for this pending NDA, amendment, or supplement, complete above section and sections 5 and 6.</b>			
<b>1. GENERAL</b>			
a. United States Patent Number 5,698,582		b. Issue Date of Patent 12/16/1997	
		c. Expiration Date of Patent 7/3/2012	
d. Name of Patent Owner Aventis Pharma S A		Address (of Patent Owner) 20 avenue Raymond Aron	
		City/State 92160 Antony France	
		ZIP Code	FAX Number (if available) 011 49 69 305 80556
		Telephone Number 011 49 69 305 6181	E-Mail Address (if available) markus.jacobi@aventis.com
e. Name of agent or representative who resides or maintains a place of business within the United States authorized to receive notice of patent certification under section 505(b)(3) and (j)(2)(B) of the Federal Food, Drug, and Cosmetic Act and 21 CFR 314.52 and 314.95 (if patent owner or NDA applicant/holder does not reside or have a place of business within the United States)  Louis J. Wille Vice President, Global Patent Litigation		Address (of agent or representative named in f e) Aventis Pharmaceuticals Inc 1041 Route 202-206 -P.O. Box 6800 City/State Bridgewater, NJ	
		ZIP Code 08807-0800	FAX Number (if available) 908 231-2691
		Telephone Number 908 231-5721	E-Mail Address (if available) lou.wille@aventis.com
f. Is the patent referenced above a patent that has been submitted previously for the approved NDA or supplement referenced above?		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
g. If the patent referenced above has been submitted previously for listing, is the expiration date a new expiration date?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

For the patent referenced above, provide the following information on the drug substance, drug product and/or method of use that is the subject of the pending NDA, amendment, or supplement.	
<b>2. Drug Substance (Active Ingredient)</b>	
2.1 Does the patent claim the drug substance that is the active ingredient in the drug product described in the pending NDA, amendment, or supplement?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
2.2 Does the patent claim a drug substance that is a different polymorph of the active ingredient described in the pending NDA, amendment, or supplement?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
2.3 If the answer to question 2.2 is "Yes," do you certify that, as of the date of this declaration, you have test data demonstrating that a drug product containing the polymorph will perform the same as the drug product described in the NDA? The type of test data required is described at 21 CFR 314.53(b).	<input type="checkbox"/> Yes <input type="checkbox"/> No
2.4 Specify the polymorphic form(s) claimed by the patent for which you have the test results described in 2.3.	
2.5 Does the patent claim only a metabolite of the active ingredient pending in the NDA or supplement? (Complete the information in section 4 below if the patent claims a pending method of using the pending drug product to administer the metabolite.)	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
2.6 Does the patent claim only an intermediate?	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
2.7 If the patent referenced in 2.1 is a product-by-process patent, is the product claimed in the patent novel? (An answer is required only if the patent is a product-by-process patent.)	
<input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>3. Drug Product (Composition/Formulation)</b>	
3.1 Does the patent claim the drug product, as defined in 21 CFR 314.3, in the pending NDA, amendment, or supplement?	
<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
3.2 Does the patent claim only an intermediate?	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
3.3 If the patent referenced in 3.1 is a product-by-process patent, is the product claimed in the patent novel? (An answer is required only if the patent is a product-by-process patent.)	
<input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>4. Method of Use</b>	
Sponsors must submit the information in section 4 separately for each patent claim claiming a method of using the pending drug product for which approval is being sought. For each method of use claim referenced, provide the following information:	
4.1 Does the patent claim one or more methods of use for which approval is being sought in the pending NDA, amendment, or supplement?	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
4.2 Patent Claim Number (as listed in the patent)	Does the patent claim referenced in 4.2 claim a pending method of use for which approval is being sought in the pending NDA, amendment, or supplement?
	<input type="checkbox"/> Yes <input type="checkbox"/> No
4.2a If the answer to 4.2 is "Yes," identify with specificity the use with reference to the proposed labeling for the drug product	Use (Submit indication or method of use information as identified specifically in the approved labeling)
<b>5. No Relevant Patents</b>	
For this pending NDA, amendment, or supplement, there are no relevant patents that claim the drug substance (active ingredient), drug product (formulation or composition) or method(s) of use, for which the applicant is seeking approval and with respect to which a claim of patent infringement could reasonably be asserted if a person not licensed by the owner of the patent engaged in the manufacture, use, or sale of the drug product.	
<input type="checkbox"/> Yes	

<b>6. Declaration Certification</b>	
<p>6.1 The undersigned declares that this is an accurate and complete submission of patent information for the NDA, amendment, or supplement pending under section 505 of the Federal Food, Drug, and Cosmetic Act. This time-sensitive patent information is submitted pursuant to 21 CFR 314.53. I attest that I am familiar with 21 CFR 314.53 and this submission complies with the requirements of the regulation. I verify under penalty of perjury that the foregoing is true and correct.</p> <p>Warning: A willfully and knowingly false statement is a criminal offense under 18 U.S.C. 1001.</p>	
<p>6.2 Authorized Signature of NDA Applicant/Holder or Patent Owner (Attorney, Agent, Representative or other Authorized Official) (Provide Information below)</p> 	<p>Date Signed</p> <p>11/17/2003</p>
<p>NOTE: Only an NDA applicant/holder may submit this declaration directly to the FDA. A patent owner who is not the NDA applicant/holder is authorized to sign the declaration but may not submit it directly to FDA. 21 CFR 314.53(c)(4) and (d)(4).</p>	
<p>Check applicable box and provide information below.</p>	
<input checked="" type="checkbox"/> NDA Applicant/Holder	<input type="checkbox"/> NDA Applicant's/Holder's Attorney, Agent (Representative) or other Authorized Official
<input type="checkbox"/> Patent Owner	<input type="checkbox"/> Patent Owner's Attorney, Agent (Representative) or Other Authorized Official
<p>Name</p> <p>Joseph P. Kirk Jr.</p>	
<p>Address</p> <p>Aventis Pharmaceuticals Inc.</p> <p>1041 Route 202-206 - P.O. Box 6800</p>	<p>City/State</p> <p>Bridgewater, New Jersey</p>
<p>ZIP Code</p> <p>08807-0800</p>	<p>Telephone Number</p> <p>908 231-5916</p>
<p>FAX Number (if available)</p> <p>908 231-2840</p>	<p>E-Mail Address (if available)</p> <p>joseph.kirk@aventis.com</p>
<p>The public reporting burden for this collection of information has been estimated to average 9 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to:</p> <p style="text-align: center;">Food and Drug Administration CDER (HFD-007) 5600 Fishers Lane Rockville, MD 20857</p> <p style="text-align: center;"><i>An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number</i></p>	

EXCLUSIVITY SUMMARY FOR NDA # 20-449 SUPPL # 029

Trade Name Taxotere Generic Name docetaxel

Applicant Name Aventis HFD # HFD-150

Approval Date If Known August 18, 2004

**PART I IS AN EXCLUSIVITY DETERMINATION NEEDED?**

1. An exclusivity determination will be made for all original applications, and all efficacy supplements. Complete PARTS II and III of this Exclusivity Summary only if you answer "yes" to one or more of the following question about the submission.

a) Is it a 505(b)(1), 505(b)(2) or efficacy supplement?  
YES / X / NO /     /

If yes, what type? Specify 505(b)(1), 505(b)(2), SE1, SE2, SE3, SE4, SE5, SE6, SE7, SE8

505 (b) (1) SE1

c) Did it require the review of clinical data other than to support a safety claim or change in labeling related to safety? (If it required review only of bioavailability or bioequivalence data, answer "no.")

YES / x / NO /     /

If your answer is "no" because you believe the study is a bioavailability study and, therefore, not eligible for exclusivity, EXPLAIN why it is a bioavailability study, including your reasons for disagreeing with any arguments made by the applicant that the study was not simply a bioavailability study.

\_\_\_\_\_  
\_\_\_\_\_

If it is a supplement requiring the review of clinical data but it is not an effectiveness supplement, describe the change or claim that is supported by the clinical data:

\_\_\_\_\_  
\_\_\_\_\_

d) Did the applicant request exclusivity?

YES /x/ NO /\_\_\_/

If the answer to (d) is "yes," how many years of exclusivity did the applicant request?

\_\_\_\_\_3 years\_\_\_\_\_

e) Has pediatric exclusivity been granted for this Active Moiety?

YES /\_\_\_/ NO /x/

If the answer to the above question in YES, is this approval a result of the studies submitted in response to the Pediatric Written Request?

\_\_\_\_\_  
IF YOU HAVE ANSWERED "NO" TO ALL OF THE ABOVE QUESTIONS, GO DIRECTLY TO THE SIGNATURE BLOCKS AT THE END OF THIS DOCUMENT.

2. Is this drug product or indication a DESI upgrade?

YES /\_\_\_/ NO /x/

IF THE ANSWER TO QUESTION 2 IS "YES," GO DIRECTLY TO THE SIGNATURE BLOCKS ON PAGE 8 (even if a study was required for the upgrade).

## **PART II FIVE-YEAR EXCLUSIVITY FOR NEW CHEMICAL ENTITIES**

(Answer either #1 or #2 as appropriate)

1. Single active ingredient product.

Has FDA previously approved under section 505 of the Act any drug product containing the same active moiety as the drug under consideration? Answer "yes" if the active moiety (including other esterified forms, salts, complexes, chelates or clathrates) has been previously approved, but this particular form of the active moiety, e.g., this particular ester or salt (including salts with hydrogen or coordination bonding) or other non-covalent derivative (such as a complex, chelate, or clathrate) has not been approved. Answer "no" if the compound requires metabolic conversion (other than deesterification of an esterified form of the drug) to produce

YES / x / NO /    /

If "yes," identify the approved drug product(s) containing the active moiety, and, if known, the NDA #(s).

NDA# \_\_\_\_\_

If the product contains more than one active moiety (as defined in Part II, #1), has FDA previously approved an application under section 505 containing any one of the active moieties in the drug product? If, for example, the combination contains one never-before-approved active moiety and one previously approved active moiety, answer "yes." (An active moiety that is marketed under an OTC monograph, but that was never approved under an NDA, is considered not previously approved.)

If "yes," identify the approved drug product(s) containing the active moiety, and, if known, the NDA #(s).

NDA# \_\_\_\_\_

To qualify for three years of exclusivity, an application or supplement must contain "reports of new clinical investigations (other than bioavailability studies) essential to the approval of the application and conducted or sponsored by the applicant." This

section should be completed only if the answer to PART II, Question 1 or 2 was "yes."

1. Does the application contain reports of clinical investigations? (The Agency interprets "clinical investigations" to mean investigations conducted on humans other than bioavailability studies.) If the application contains clinical investigations only by virtue of a right of reference to clinical investigations in another application, answer "yes," then skip to question 3(a). If the answer to 3(a) is "yes" for any investigation referred to in another application, do not complete remainder of summary for that investigation.

YES /\_\_x/ NO /\_\_\_/

IF "NO," GO DIRECTLY TO THE SIGNATURE BLOCKS ON PAGE 8.

2. A clinical investigation is "essential to the approval" if the Agency could not have approved the application or supplement without relying on that investigation. Thus, the investigation is not essential to the approval if 1) no clinical investigation is necessary to support the supplement or application in light of previously approved applications (i.e., information other than clinical trials, such as bioavailability data, would be sufficient to provide a basis for approval as an ANDA or 505(b)(2) application because of what is already known about a previously approved product), or 2) there are published reports of studies (other than those conducted or sponsored by the applicant) or other publicly available data that independently would have been sufficient to support approval of the application, without reference to the clinical investigation submitted in the application.

(a) In light of previously approved applications, is a clinical investigation (either conducted by the applicant or available from some other source, including the published literature) necessary to support approval of the application or supplement?

YES /\_x\_/ NO /\_\_\_/

If "no," state the basis for your conclusion that a clinical trial is not necessary for approval AND GO DIRECTLY TO SIGNATURE BLOCK ON PAGE 8:

---

(b) Did the applicant submit a list of published studies

relevant to the safety and effectiveness of this drug product and a statement that the publicly available data would not independently support approval of the application?

YES /\_\_\_/ NO /\_x\_/

(1) If the answer to 2(b) is "yes," do you personally know of any reason to disagree with the applicant's conclusion? If not applicable, answer NO.

YES /\_\_\_/ NO /\_\_\_/

If yes, explain:

---

(2) If the answer to 2(b) is "no," are you aware of published studies not conducted or sponsored by the applicant or other publicly available data that could independently demonstrate the safety and effectiveness of this drug product?

YES /\_\_\_/ NO /\_x\_/

If yes, explain:

---

(c) If the answers to (b)(1) and (b)(2) were both "no," identify the clinical investigations submitted in the application that are essential to the approval:

---

Studies comparing two products with the same ingredient(s) are considered to be bioavailability studies for the purpose of this section.

3. In addition to being essential, investigations must be "new" to support exclusivity. The agency interprets "new clinical investigation" to mean an investigation that 1) has not been relied on by the agency to demonstrate the effectiveness of a previously approved drug for any indication and 2) does not duplicate the



results of another investigation that was relied on by the agency to demonstrate the effectiveness of a previously approved drug product, i.e., does not redemonstrate something the agency considers to have been demonstrated in an already approved application.

a) For each investigation identified as "essential to the approval," has the investigation been relied on by the agency to demonstrate the effectiveness of a previously approved drug product? (If the investigation was relied on only to support the safety of a previously approved drug, answer "no.")

Investigation #1                      YES /\_\_\_/              NO /\_\_x/

Investigation #2                      YES /\_\_\_/              NO /\_\_\_/

If you have answered "yes" for one or more investigations, identify each such investigation and the NDA in which each was relied upon:

\_\_\_\_\_  
\_\_\_\_\_

b) For each investigation identified as "essential to the approval", does the investigation duplicate the results of another investigation that was relied on by the agency to support the effectiveness of a previously approved drug product?

Investigation #1                      YES /\_\_\_/              NO /\_\_x\_/

Investigation #2                      YES /\_\_\_/              NO /\_\_\_/

If you have answered "yes" for one or more investigation, identify the NDA in which a similar investigation was relied on:

\_\_\_\_\_  
\_\_\_\_\_

c) If the answers to 3(a) and 3(b) are no, identify each "new" investigation in the application or supplement that is essential to the approval (i.e., the investigations listed in #2(c), less any that are not "new"):

TAX 316

4. To be eligible for exclusivity, a new investigation that is essential to approval must also have been conducted or sponsored by the applicant. An investigation was "conducted or sponsored by" the applicant if, before or during the conduct of the investigation, 1) the applicant was the sponsor of the IND named in the form FDA 1571 filed with the Agency, or 2) the applicant (or its predecessor in interest) provided substantial support for the study. Ordinarily, substantial support will mean providing 50 percent or more of the cost of the study.

a) For each investigation identified in response to question 3(c): if the investigation was carried out under an IND, was the applicant identified on the FDA 1571 as the sponsor?

Investigation #1	!	
IND # 35,555 YES /__X/	!	NO /___/ Explain: _____
	!	
Investigation #2	!	
IND # _____ YES /___/	!	NO /___/ Explain: _____

(b) For each investigation not carried out under an IND or for which the applicant was not identified as the sponsor, did the applicant certify that it or the applicant's predecessor in interest provided substantial support for the study?

Investigation #1	!	
YES /___/ Explain _____	!	NO /___/ Explain _____
_____	!	_____
_____	!	_____
Investigation #2	!	
YES /___/ Explain _____	!	NO /___/ Explain _____

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(c) Notwithstanding an answer of "yes" to (a) or (b), are there other reasons to believe that the applicant should not be credited with having "conducted or sponsored" the study? (Purchased studies may not be used as the basis for exclusivity. However, if all rights to the drug are purchased (not just studies on the drug), the applicant may be considered to have sponsored or conducted the studies sponsored or conducted by its predecessor in interest.)

YES /\_\_\_/      NO /\_x\_/

If yes, explain: \_\_\_\_\_

Signature  
Title:

Date

Signature of Office/  
Division Director

Date

Form OGD-011347 Revised 05/10/2004

**Aventis Pharmaceuticals**



**Aventis Pharmaceuticals, Inc.**  
200 Crossing, Blvd., P.O. Box 6890  
Bridgewater, NJ 08807-0890

**Debarment Certification**

February 17, 2004

Aventis Pharmaceutical Inc. hereby certifies that it has not used and will not use in any capacity the services of any person debarred pursuant to section 306(a) of the Federal Food, Drug, and Cosmetic Act [21 U.S.C. 335(a) and (b)] in connection with this application.

Cheryl L. Anderson  
Senior Director and Therapeutic Area Head, Oncology

## PEDIATRIC PAGE

(Complete for all filed original applications and efficacy supplements)

ANDA/BLA #: 20-449 Supplement Type (e.g. SE5): SE1 Supplement Number: 029

App Date: 3-17-04 Action Date: PDUFA 9-17-04

HFD -150 Trade and generic names/dosage form: Taxotere (docetaxel) for injectable concentrate

Applicant: Aventis Therapeutic Class: 1P

Indication(s) previously approved: breast and NSCLC.

**Each approved indication must have pediatric studies: Completed, Deferred, and/or Waived.**

Number of indications for this application(s): 1

Indication #1: Taxotere® in combination with doxorubicin and cyclophosphamide for the adjuvant treatment of patients with operable node-positive breast cancer.

Is there a full waiver for this indication (check one)?

☒ Yes: Please proceed to Section A.

☐ No: Please check all that apply: ☐ Partial Waiver ☐ Deferred ☐ Completed

NOTE: More than one may apply

Please proceed to Section B, Section C, and/or Section D and complete as necessary.

### Section A: Fully Waived Studies

Reason(s) for full waiver:

- ☐ Products in this class for this indication have been studied/labeled for pediatric population
- ☒ Disease/condition does not exist in children
- ☐ Too few children with disease to study
- ☐ There are safety concerns
- ☐ Other: \_\_\_\_\_

*If studies are fully waived, then pediatric information is complete for this indication. If there is another indication, please see Attachment A. Otherwise, this Pediatric Page is complete and should be entered into DFS.*

### Section B: Partially Waived Studies

Age/weight range being partially waived:

Min \_\_\_\_\_ kg \_\_\_\_\_ mo. \_\_\_\_\_ yr. \_\_\_\_\_ Tanner Stage \_\_\_\_\_  
Max \_\_\_\_\_ kg \_\_\_\_\_ mo. \_\_\_\_\_ yr. \_\_\_\_\_ Tanner Stage \_\_\_\_\_

Reason(s) for partial waiver:

- ☐ Products in this class for this indication have been studied/labeled for pediatric population
- ☐ Disease/condition does not exist in children
- ☐ Too few children with disease to study
- ☐ There are safety concerns
- ☐ Adult studies ready for approval
- ☐ Formulation needed

☐ Other: \_\_\_\_\_

*If studies are deferred, proceed to Section C. If studies are completed, proceed to Section D. Otherwise, this Pediatric Page is complete and should be entered into DFS.*

**Section C: Deferred Studies**

Age/weight range being deferred:

Min \_\_\_\_\_ kg \_\_\_\_\_ mo. \_\_\_\_\_ yr. \_\_\_\_\_ Tanner Stage \_\_\_\_\_  
Max \_\_\_\_\_ kg \_\_\_\_\_ mo. \_\_\_\_\_ yr. \_\_\_\_\_ Tanner Stage \_\_\_\_\_

Reason(s) for deferral:

- ☐ Products in this class for this indication have been studied/labeled for pediatric population  
☐ Disease/condition does not exist in children  
☐ Too few children with disease to study  
☐ There are safety concerns  
☐ Adult studies ready for approval  
☐ Formulation needed

Other: \_\_\_\_\_

Date studies are due (mm/dd/yy): \_\_\_\_\_

*If studies are completed, proceed to Section D. Otherwise, this Pediatric Page is complete and should be entered into DFS.*

**Section D: Completed Studies**

Age/weight range of completed studies:

Min \_\_\_\_\_ kg \_\_\_\_\_ mo. \_\_\_\_\_ yr. \_\_\_\_\_ Tanner Stage \_\_\_\_\_  
Max \_\_\_\_\_ kg \_\_\_\_\_ mo. \_\_\_\_\_ yr. \_\_\_\_\_ Tanner Stage \_\_\_\_\_

Comments:

*If there are additional indications, please proceed to Attachment A. Otherwise, this Pediatric Page is complete and should be entered into DFS.*

This page was completed by:

*{See appended electronic signature page}*

Regulatory Project Manager  
Ann Staten

cc: NDA 20-449/s-029  
HFD-960/ Grace Carmouze

FOR QUESTIONS ON COMPLETING THIS FORM CONTACT THE DIVISION OF PEDIATRIC DRUG DEVELOPMENT, HFD-960, 301-594-7337.

(revised 12-22-03)



**Aventis Pharmaceuticals, Inc.**  
200 Crossing, Blvd., P.O. Box 6890  
Bridgewater, NJ 08807-0890

**User Fee Cover Sheet**

Form FDA 3397 is included in Section 1.4.5.1  
A copy of User Fee Check is included in Section 1.4.5.2

NDA 20449 Taxotere (Docetaxel)  
DEPARTMENT OF HEALTH AND HUMAN SERVICES  
FOOD AND DRUG ADMINISTRATION

**PRESCRIPTION DRUG  
USER FEE COVER  
SHEET**

Form Approved: OMB No. 0910-0297  
Expiration Date: February 29, 2004

**See Instructions on Reverse Side Before Completing This Form**

A completed form must be signed and accompany each new drug or biologic product application and each new supplement. See exceptions on the reverse side. If payment is sent by U.S. mail or courier, please include a copy of this completed form with payment. Payment instructions and fee rates can be found on CDER's website: <http://www.fda.gov/cder/pdufa/default.htm>

**1. APPLICANT'S NAME AND ADDRESS**

Aventis Pharmaceuticals Inc.  
200 Crossing Boulevard, Mail Stop  
BX2-209G  
POBox 6890  
Bridgewater, NJ 08807-0890

**2. TELEPHONE NUMBER (Include Area Code)**

( 908 ) 304-6471

**3. PRODUCT NAME**

TAXOTERE® (docetaxel)

**4. BLA SUBMISSION TRACKING NUMBER (STN) / NDA NUMBER**

20,449

**5. DOES THIS APPLICATION REQUIRE CLINICAL DATA FOR APPROVAL?**

☒ YES ☐ NO

IF YOUR RESPONSE IS "NO" AND THIS IS FOR A SUPPLEMENT, STOP HERE AND SIGN THIS FORM.

IF RESPONSE IS "YES", CHECK THE APPROPRIATE RESPONSE BELOW:

☒ THE REQUIRED CLINICAL DATA ARE CONTAINED IN THE APPLICATION

☐ THE REQUIRED CLINICAL DATA ARE SUBMITTED BY  
REFERENCE TO:

\_\_\_\_\_  
(APPLICATION NO. CONTAINING THE DATA.)

**6. USER FEE ID NUMBER**

4700

**7. IS THIS APPLICATION COVERED BY ANY OF THE FOLLOWING USER FEE EXCLUSIONS? IF SO, CHECK THE APPLICABLE EXCLUSION**

☐ A LARGE VOLUME PARENTERAL DRUG PRODUCT  
APPROVED UNDER SECTION 505 OF THE FEDERAL  
FOOD, DRUG, AND COSMETIC ACT BEFORE 9/1/92  
(Self Explanatory)

☐ A 505(b)(2) APPLICATION THAT DOES NOT REQUIRE A FEE  
(See item 7, reverse side before checking box.)

☐ THE APPLICATION QUALIFIES FOR THE ORPHAN  
EXCEPTION UNDER SECTION 736(a)(1)(E) of the Federal Food,  
Drug, and Cosmetic Act  
(See item 7, reverse side before checking box.)

☐ THE APPLICATION IS SUBMITTED BY A STATE OR FEDERAL  
GOVERNMENT ENTITY FOR A DRUG THAT IS NOT DISTRIBUTED  
COMMERCIALY  
(Self Explanatory)

**8. HAS A WAIVER OF AN APPLICATION FEE BEEN GRANTED FOR THIS APPLICATION?**

☐ YES ☒ NO

(See item 8, reverse side if answered YES)

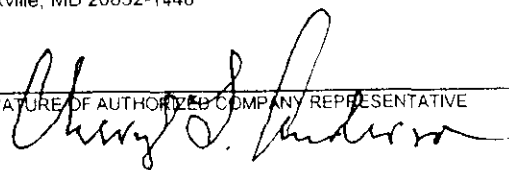
Public reporting burden for this collection of information is estimated to average 30 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to:

Department of Health and Human Services  
Food and Drug Administration  
CDER, HFM-99  
1401 Rockville Pike  
Rockville, MD 20852-1448

Food and Drug Administration  
CDER, HFD-94  
12420 Parklawn Drive, Room 3046  
Rockville, MD 20852

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

SIGNATURE OF AUTHORIZED COMPANY REPRESENTATIVE



TITLE

Senior Director and Therapeutic Area Head,  
Oncology

DATE

1/30/2004



PAY THE SUM OF \*\*\* TWO HUNDRED EIGHTY-SIX THOUSAND SEVEN HUNDRED  
FIFTY and 00/100 USD \*\*\*

USD \*\*\*\*\* 286,750.00  
\*\*\* Valid after 90 days \*\*\*

US FOOD AND DRUG ADMINISTRATION  
PO BOX 360909  
PITTSBURGH PA 15251-6909

⑈0009162536⑈ ⑆031100209⑆ 38645293⑈



Date :  
02/03/2004

Vendor:  
10155891

Page :  
1/ 1

Check No.:  
0009162536

Date	Reference/	Document	Text	Gross Amount	Discount	Net Amount
004	INV013004	1900701949	Give:F.Lee-UserFee4700-Ta xotereBreastAdjuvant	286,750.00	0.00	286,750.00

\*\*\*\*\*286,750.00\*

**NDA REGULATORY FILING REVIEW**  
(Including Memo of Filing Meeting)

NDA # 20-449

Supplement # SE1-029

Trade Name: Taxotere Injection Concentrate

Generic Name: docetaxel

Strengths: 20 mg and 80 mg

Applicant: Aventis Pharmaceuticals

Date of Application: March 17, 2004

Date of Receipt: March 17, 2004

Date clock started after UN:

Date of Filing Meeting: May 13, 2004

Filing Date: May 16, 2004

Action Goal Date (optional): September 17, 2004

User Fee Goal Date: September 17, 2004

Indication(s) requested: Taxotere® in combination with doxorubicin and cyclophosphamide for the adjuvant treatment of patients with operable node-positive breast cancer.

Type of Original NDA: (b)(1) \_\_\_\_\_ (b)(2) \_\_\_\_\_  
OR

Type of Supplement: (b)(1)   X   (b)(2) \_\_\_\_\_

NOTE: A supplement can be either a (b)(1) or a (b)(2) regardless of whether the original NDA was a (b)(1) or a (b)(2). If the application is a (b)(2) application, complete the (b)(2) section at the end of this review.

Therapeutic Classification: S \_\_\_\_\_ P   X    
Resubmission after withdrawal? \_\_\_\_\_ Resubmission after refuse to file? \_\_\_\_\_

Chemical Classification: (1,2,3 etc.) \_\_\_\_\_  
Other (orphan, OTC, etc.) \_\_\_\_\_

User Fee Status: Paid   X   Exempt (orphan, government) \_\_\_\_\_  
Waived (e.g., small business, public health) \_\_\_\_\_

Form 3397 (User Fee Cover Sheet) submitted: YES   X   NO

User Fee ID #   4700  

Clinical data? YES   X   NO, Referenced to NDA # \_\_\_\_\_

Is there any 5-year or 3-year exclusivity on this active moiety in either a (b)(1) or a (b)(2) application?

If yes, explain: YES NO   X  

Does another drug have orphan drug exclusivity for the same indication? YES NO   X  

If yes, is the drug considered to be the same drug according to the orphan drug definition of sameness [21 CFR 316.3(b)(13)]?

YES NO

Is the application affected by the Application Integrity Policy (AIP)?  
If yes, explain.

YES NO X

If yes, has OC/DMPQ been notified of the submission?

YES NO

• Does the submission contain an accurate comprehensive index?

YES X NO

• Was form 356h included with an authorized signature?

YES X NO

**If foreign applicant, both the applicant and the U.S. agent must sign.**

• Submission complete as required under 21 CFR 314.50?  
If no, explain:

YES X NO

• If an electronic NDA, does it follow the Guidance?

N/A YES X NO

**If an electronic NDA, all certifications must be in paper and require a signature.**

Which parts of the application were submitted in electronic format?

All in an eNDA in CTD format

Additional comments:

• If in Common Technical Document format, does it follow the guidance?

N/A YES X NO

• Is it an electronic CTD?

N/A YES NO X

**If an electronic CTD, all certifications must be in paper and require a signature.**

Which parts of the application were submitted in electronic format?

Additional comments:

• Patent information submitted on form FDA 3542a?

YES X NO

• Exclusivity requested?

YES, 3 years NO

Note: An applicant can receive exclusivity without requesting it; therefore, requesting exclusivity is not required.

• Correctly worded Debarment Certification included with authorized signature?

YES X NO

**If foreign applicant, both the applicant and the U.S. Agent must sign the certification.**

**NOTE:** Debarment Certification should use wording in FD&C Act section 306(k)(1) i.e.,

"[Name of applicant] hereby certifies that it did not and will not use in any capacity the services of any person debarred under section 306 of the Federal Food, Drug, and Cosmetic Act in connection with this application." Applicant may not use wording such as "To the best of my knowledge . . ."

- Financial Disclosure forms included with authorized signature? YES X NO  
(Forms 3454 and 3455 must be used and must be signed by the APPLICANT.)

- Field Copy Certification (that it is a true copy of the CMC technical section)? N/A YES NO

**Refer to 21 CFR 314.101(d) for Filing Requirements**

- PDUFA and Action Goal dates correct in COMIS? YES X NO  
If not, have the document room staff correct them immediately. These are the dates EES uses for calculating inspection dates.

- Drug name/Applicant name correct in COMIS? If not, have the Document Room make the corrections.

- List referenced IND numbers:

- End-of-Phase 2 Meeting(s)?  
If yes, distribute minutes before filing meeting.

Date(s) \_\_\_\_\_ NO

- Pre-NDA Meeting(s)?  
If yes, distribute minutes before filing meeting.

Date(s) 8-21-03 NO

**Project Management**

- All labeling (PI, PPI, MedGuide, carton and immediate container labels) consulted to DDMAC? YES X NO

- Trade name (plus PI and all labels and labeling) consulted to ODS/DMETS? N/A X YES NO

- MedGuide and/or PPI (plus PI) consulted to ODS/DSRCS? N/A X YES NO

- If a drug with abuse potential, was an Abuse Liability Assessment, including a proposal for scheduling, submitted? N/A X YES NO

**If Rx-to-OTC Switch application:**

- OTC label comprehension studies, all OTC labeling, and current approved PI consulted to ODS/DSRCS? N/A YES NO

- Has DOTCDP been notified of the OTC switch application? YES NO

**Clinical**

- If a controlled substance, has a consult been sent to the Controlled Substance Staff? YES NO

**Chemistry**

- Did applicant request categorical exclusion for environmental assessment? YES X NO  
If no, did applicant submit a complete environmental assessment? YES NO

If EA submitted, consulted to Nancy Sager (HFD-357)?	YES	NO
• Establishment Evaluation Request (EER) submitted to DMPQ?	N/A YES	NO
• If a parenteral product, consulted to Microbiology Team (HFD-805)?	YES	NO

**If 505(b)(2) application, complete the following section:**

- Name of listed drug(s) and NDA/ANDA #:
- Describe the change from the listed drug(s) provided for in this (b)(2) application (for example, "This application provides for a new indication, otitis media" or "This application provides for a change in dosage form, from capsules to solution").
- Is the application for a duplicate of a listed drug and eligible for approval under section 505(j) as an ANDA? (Normally, FDA will refuse-to-file such NDAs.)  
YES NO
- Is the extent to which the active ingredient(s) is absorbed or otherwise made available to the site of action less than that of the reference listed drug (RLD)? (Sec 314.54(b)(1)). If yes, the application should be refused for filing under 314.101(d)(9).  
YES NO
- Is the rate at which the product's active ingredient(s) is absorbed or otherwise made available to the site of action unintentionally less than that of the RLD? (Sec 314.54(b)(2)). If yes, the application should be refused for filing under 314.101(d)(9).  
YES NO
- Which of the following patent certifications does the application contain? Note that a patent certification must contain an authorized signature.

\_\_\_\_\_ 21 CFR 314.50(i)(1)(i)(A)(1): The patent information has not been submitted to FDA.

\_\_\_\_\_ 21 CFR 314.50(i)(1)(i)(A)(2): The patent has expired.

\_\_\_\_\_ 21 CFR 314.50(i)(1)(i)(A)(3): The date on which the patent will expire.

\_\_\_\_\_ 21 CFR 314.50(i)(1)(i)(A)(4): The patent is invalid, unenforceable, or will not be infringed by the manufacture, use, or sale of the drug product for which the application is submitted.

*IF FILED, and if the applicant made a "Paragraph IV" certification [21 CFR 314.50(i)(1)(i)(A)(4)], the applicant must submit a signed certification that the patent holder was notified the NDA was filed [21 CFR 314.52(b)]. Subsequently, the applicant must submit documentation that the patent holder(s) received the notification ([21 CFR 314.52(e)].*

\_\_\_\_\_ 21 CFR 314.50(i)(1)(ii): No relevant patents.

\_\_\_\_\_ 21 CFR 314.50(i)(1)(iii): The patent on the listed drug is a method of use patent and the labeling for the drug product for which the applicant is seeking approval does not include any indications

that are covered by the use patent. Applicant must provide a statement that the method of use patent does not claim any of the proposed indications.

Formatted: Bullets and Numbering

- \_\_\_\_ 21 CFR 314.50(i)(3): Statement that applicant has a licensing agreement with the patent owner (must also submit certification under 21 CFR 314.50(i)(1)(i)(A)(4) above.)  
\_\_\_\_ Written statement from patent owner that it consents to an immediate effective date upon approval of the application.

• Did the applicant:

- Identify which parts of the application rely on information the applicant does not own or to which the applicant does not have a right of reference?

YES NO

- Submit a statement as to whether the listed drug(s) identified has received a period of marketing exclusivity?

YES NO

- Submit a bioavailability/bioequivalence (BA/BE) study comparing the proposed product to the listed drug?

N/A YES NO

- Certify that it is seeking approval only for a new indication and not for the indications approved for the listed drug if the listed drug has patent protection for the approved indications and the applicant is requesting only the new indication (21 CFR 314.54(a)(1)(iv)).?

N/A YES NO

• If the (b)(2) applicant is requesting exclusivity, did the applicant submit the following information required by 21 CFR 314.50(j)(4):

- Certification that each of the investigations included meets the definition of "new clinical investigation" as set forth at 314.108(a).

YES NO

- A list of all published studies or publicly available reports that are relevant to the conditions for which the applicant is seeking approval.

YES NO

• EITHER

The number of the applicant's IND under which the studies essential to approval were conducted.

IND # \_\_\_\_\_ NO

OR

A certification that it provided substantial support of the clinical investigation(s) essential to approval if it was not the sponsor of the IND under which those clinical studies were conducted?

N/A YES NO

• Has the Director, Div. of Regulatory Policy II, HFD-007, been notified of the existence of the (b)(2) application?

YES NO

APPEARS THIS WAY  
ON ORIGINAL

APPEARS THIS WAY  
ON ORIGINAL

ATTACHMENT

MEMO OF FILING MEETING

DATE: 5-13-04

BACKGROUND:

(Provide a brief background of the drug, e.g., it was already approved and this NDA is for an extended-release formulation; whether another Division is involved; foreign marketing history; etc.)

ATTENDEES: Staten, YHsieh, Dagher, Abraham, Rahman, Li, Sridhara, Williams, Cortazar

ASSIGNED REVIEWERS:

<u>Discipline</u>	<u>Reviewer</u>
Medical:	Dr. Pat Cortazar
Secondary Medical:	Dr. Ramzi Dagher
Statistical:	Dr. Ning Li
Pharmacology:	N/A
Statistical Pharmacology:	N/A
Chemistry:	N/A
Environmental Assessment (if needed):	Dr. Yung-Ao Hsieh
Biopharmaceutical:	Dr. Sophia Abraham
Microbiology, sterility:	N/A
Microbiology, clinical (for antimicrobial products only):	N/A
DSI:	Dr. David Gan
Regulatory Project Management:	Ann Staten
Other Consults:	Joseph Grill (DDMAC)

Per reviewers, are all parts in English or English translation? **YES**  
If no, explain:

CLINICAL FILE ☒ REFUSE TO FILE \_\_\_\_\_

- Clinical site inspection needed: **TBD**
- Advisory Committee Meeting needed? **TBD**
- If the application is affected by the AIP, has the division made a recommendation regarding whether or not an exception to the AIP should be granted to permit review based on medical necessity or public health significance?

N/A

CLINICAL MICROBIOLOGY NA ☒ FILE \_\_\_\_\_ REFUSE TO FILE \_\_\_\_\_

STATISTICS FILE ☒ REFUSE TO FILE \_\_\_\_\_

BIOPHARMACEUTICS FILE ☒ REFUSE TO FILE \_\_\_\_\_



- Biopharm. inspection needed: **NO**

PHARMACOLOGY                      NA ☒      FILE \_\_\_\_\_      REFUSE TO FILE \_\_\_\_\_

- GLP inspection needed: **NO**

CHEMISTRY                                      FILE ☒      REFUSE TO FILE \_\_\_\_\_

- Establishment(s) ready for inspection?      N/A
- Microbiology      N/A

**ELECTRONIC SUBMISSION:**

Any comments: None

Biopharm.: Clin Pharm section to be submitted at the end of March per pre-sNDA agreement – need raw data in SAS format.

**REGULATORY CONCLUSIONS/DEFICIENCIES:**

\_\_\_\_\_ The application is unsuitable for filing. Explain why:

☒ The application, on its face, appears to be well organized and indexed. The application appears to be suitable for filing.

☒ No filing issues have been identified.

☐ none Filing issues to be communicated by Day 74. List (optional):

**EXPECTED REVIEW COMPLETION:**

Clinical: August 1st

Stat: After August 10th

Biopharm: August 1st

**ACTION ITEMS:**

1. Document filing issues/no filing issues conveyed to applicant by Day 74. (done: 5-19-04)
2. The following consultants should be cleared to assist in the review of this supplement: TBD if needed.
3. DSI memo – Pat to select sites asap if needed
4. One team meeting is needed (medical and Statistics only w/ TLs)
5. Two labeling meetings to be scheduled. Done: 8-4-04 and 8-10-04

Ann Staten, RD  
Regulatory Project Manager, HFD-150

**Staten, Ann M**

---

**From:** Cortazar, Patricia  
**nt:** Thursday, May 27, 2004 3:00 PM  
Staten, Ann M  
**cc:** Dagher, Ramzi; Pazdur, Richard; Li, Ning; Sridhara, Rajeshwari; Abraham, Sophia  
**Subject:** DSI consult

I did a preliminary review of the primary efficacy endpoint by sites and the results are very even. Therefore, I do not recommend a DSI inspection. At this point the data looks very solid with similar results across centers.

Ann:

Please let the DSI division that an inspection would not be necessary.

thanks,

Patricia Cortazar, MD  
Medical Officer  
Division of Oncology Drug Products  
FDA

## **PROJECT MANAGER REVIEW OF LABELING**

**NDA 20-449/S-029**

**Drug:** Taxotere (docetaxel) Concentrate for Injection,  
20 mg and 80 mg  
**Applicant:** Aventis  
**Submission Date:** March 17, 2004  
**Receipt Date:** March 17, 2004

### **BACKGROUND:**

On May 19, 2004, NDA 20-449/S-028 was approved, which provided for "Taxotere in combination with prednisone as a treatment for patients with androgen independent (hormone refractory) metastatic prostate cancer" as well as several other revisions to the package insert.

The final printed labeling (FA) for S-028 was submitted electronically on May 27, 2004 and it was accepted on June 21, 2004.

This new supplement (S-029) provides for the following new proposed indication: "TAXOTERE in combination with doxorubicin and cyclophosphamide is indicated for the adjuvant treatment of patients with operable node-positive breast cancer." as well as other revisions.

On June 23, 2004, Aventis provided an electronic copy of the package insert which combined the approved labeling from S-028 into the proposed labeling for S-029.

### **DOCUMENTS REVIEWED:**

I compared the electronic Word version of the proposed draft package insert text for S-029 against the electronic version of the final printed labeling for S-028 submitted on May 27, 2004.

### **REVIEW:**

The only changes in the new version are those the sponsor proposes for this supplement.

### **CONCLUSION - RECOMMENDED REGULATORY ACTION:**

The proposed draft package insert for S-029 with tracked changes is attached.

With the concurrence of the Medical, Statistical and Clinical Pharmacology reviewers, this labeling may be approved (see their reviews).

\_\_ {See appended electronic signature page}\_\_

Ann Staten, Regulatory Health Project Manager

\_\_ {See appended electronic signature page}\_\_

Dotti Pease, Chief, Project Manager Staff

8 Page(s) Withheld

       § 552(b)(4) Trade Secret / Confidential

✓ § 552(b)(5) Deliberative Process

       § 552(b)(5) Draft Labeling

**Staten, Ann M**

---

**From:** Staten, Ann M  
**Sent:** Tuesday, August 26, 2003 11:21 AM  
**To:** Michael. Rozycki (Michael.Rozycki@aventis.com)  
**Subject:** Follow-up questions for presNDA meeting (adj. Breast)  
**Importance:** High

Hi Mike,

Attached is our response to your submission dated 8-22-03 (serial number 1115).

Please let me know if you need anything else.

Sincerely,

Ann

8/26/2003

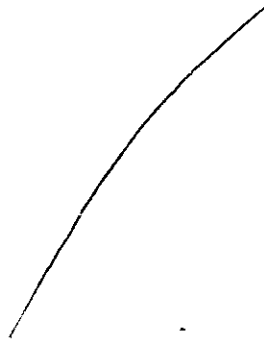
1. Aventis Follow-Up Comment and Question #1: Aventis expects to submit the sNDA on or about January 29, 2004. At present, patient accrual to Study XRP6976D/1001 is ongoing, and as such, Aventis cannot commit to the submission of a final study report before the end of May 2004. Aventis believes that the mature efficacy data and the clinical safety experience which will be included with Study TAX316, and supported by the safety data from Study GEICAM 9805, does not warrant delaying the submission of the sNDA until the availability of a final report for Study XRP6976D/1001. Hence, Aventis proposes to submit the final report for Study XRP6976D/1001 after the initial submission of the subject sNDA, but before the end of May 2004.

**Does FDA agree with this timeframe for the submission of a final report for Study XRP6976D/1001?**

**FDA Response**

Yes, we agree. However, delay of your submission of the final study report for Study XRP6976D/1001 may delay our completion of the review and final action on the sNDA submission.

2. Aventis Follow-Up Comment and Question #2; In the original question in the briefing document, Aventis offered to include supportive pharmacokinetic reports for the following four additional studies in the sNDA submission:



In the original question, Aventis indicated that it does not regard these additional studies as being capable, separately or in combination, of confirming the absence of an interaction among all of the constitutive agents of the TAC treatment regimen. Aventis does not plan to include case report forms or SAS datasets containing tabulations of analytical results (including, but not limited to, efficacy, safety, dosing, and baseline demographics results) with the sNDA

submission, but will provide available data to FDA reviewers upon request. The FDA response of August 21 did not comment on the Aventis proposal for submission of these studies.

**Does the FDA agree that the submission of pharmacokinetic information for Studies \_\_\_\_\_ described above would be helpful in assessing potential pharmacokinetic interactions?**

**FDA Response**

No, we do not agree that the above studies will be helpful in assessing potential pharmacokinetic interactions. These studies are not relevant to the sNDA submission since dosing schedules and patient populations may differ from those recommended for the TAC combination. In addition, the potential pharmacokinetic interactions \_\_\_\_\_ in these studies may differ than that for the triple TAC combination.

**APPEARS THIS WAY  
ON ORIGINAL**



-----  
**This is a representation of an electronic record that was signed electronically and  
this page is the manifestation of the electronic signature.**  
-----

/s/  
-----

Ann Staten

9/25/03 01:47:33 PM

Patricia Cortazar

9/26/03 11:47:07 AM

**Aventis Pharmaceuticals**



August 18, 2004

Food and Drug Administration  
Attention: Richard Pazdur, M.D.  
Director, Division of Oncology Drug Products (HFD-150)  
Center for Drug Evaluation and Research  
Food and Drug Administration  
Woodmont 2 Room  
1451 Rockville Pike  
Rockville, MD 20852

**Supplemental NDA 20-449/S-029: TAXOTERE® (docetaxel) Injection Concentrate**  
**Amendment to Pending Supplemental NDA**

*Responses to FDA Requests for Information*

Dear Dr. Pazdur:

Reference is made to the March 17, 2004 submission of supplemental New Drug Application (sNDA) 20-449/S-029, and to a request from Cmdr. Ann Staten (FDA) to Daniel Bollag (Aventis) during a telephone conversation on August 18, 2004. In the August 18 conversation, Cmdr. Staten requested that the final changes proposed for the adjuvant breast cancer indication communicated to FDA via electronic mail on August 18 be submitted to the supplemental NDA.

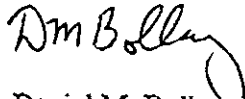
With this letter, Aventis is submitting to sNDA 20-449/S-029 the proposed label changes which were sent to the FDA via electronic mail today (see Appendix).

Aventis certifies that all electronic media are free from computer virus. The virus scan for this submission was performed using Symantec's Norton Antivirus Corporate Edition, Version 7.50.846, Scan Engine Version 4.1.0.6. The Virus Definition File is Version 60816c, issued August 16, 2004.

Aventis considers the information included in this submission to be confidential and proprietary, and requests that no portion thereof be disclosed to third parties, under the Freedom of Information Act or otherwise, without first obtaining written permission from the applicant under 21 CFR 314.430.

If you have any questions or require additional information to facilitate the review, please contact me at (908) 304-6431 (Fax: 908-304-6531), or in my absence, Cheryl Anderson at (908) 304-6471.

Sincerely,



Daniel M. Bollag, Ph.D.  
Director  
US Regulatory Affairs

Enclosures:            Form FDA 356h  
                             Appendix  
                             1 CD, <1 MB

**DEPARTMENT OF HEALTH AND HUMAN SERVICES  
FOOD AND DRUG ADMINISTRATION**

**APPLICATION TO MARKET A NEW DRUG, BIOLOGIC,  
OR AN ANTIBIOTIC DRUG FOR HUMAN USE**  
(Title 21, Code of Federal Regulations, Parts 314 & 601)

Form Approved: OMB No. 0910-0398  
Expiration Date: August 31, 2005  
See OMB Statement on page 2.

**FOR FDA USE ONLY**

APPLICATION NUMBER  
NDA #20-449/S-029

**APPLICANT INFORMATION**

NAME OF APPLICANT Aventis Pharmaceuticals, Inc	DATE OF SUBMISSION August 18, 2004
TELEPHONE NO. (Include Area Code) (908) 304-6431	FACSIMILE (FAX) Number (Include Area Code) (908)-541-5274
APPLICANT ADDRESS (Number, Street, City, State, Country, ZIP Code or Mail Code, and U.S. License number if previously issued): 200 Crossing Blvd., Route 202-206 P.O. Box 6890 Bridgewater, NJ 08807-0890	AUTHORIZED U.S. AGENT NAME & ADDRESS (Number, Street, City, State, ZIP Code, telephone & FAX number) IF APPLICABLE  N/A

**PRODUCT DESCRIPTION**

NEW DRUG OR ANTIBIOTIC APPLICATION NUMBER, OR BIOLOGICS LICENSE APPLICATION NUMBER (if previously issued) NDA #20-449

ESTABLISHED NAME (e.g., Proper name, USP/USAN name) Docetaxel	PROPRIETARY NAME (trade name) IF ANY- ALVESCO™ TAXOTERE Injection Concentrate
--	--

CHEMICAL/BIOCHEMICAL/BLOOD PRODUCT NAME (if any) (2R,3S)-N-carboxy-3-phenylisoserine,N-tert-butyl ester, 13-ester with 5β-20-epoxy-1,2α,4,7β,10β,13α-hexahydroxytax-11-en-9-one 4-acetate 2-benzoate, trihydrate	CODE NAME (if any) XRP 6976
DOSAGE FORM: Concentrate for Infusion	STRENGTHS: 20 mg and 80 mg
ROUTE OF ADMINISTRATION: Intravenous infusion	

(PROPOSED) INDICATION(S) FOR USE:

**APPLICATION DESCRIPTION**

APPLICATION TYPE (check one)  
☒ NEW DRUG APPLICATION (CDA, 21 CFR 314.50)    ☐ ABBREVIATED NEW DRUG APPLICATION (ANDA, 21 CFR 314.94)  
☐ BIOLOGICS LICENSE APPLICATION (BLA, 21 CFR Part 601)

IF AN NDA, IDENTIFY THE APPROPRIATE TYPE    ☒ 505 (b)(1)    ☐ 505 (b)(2)

IF AN ANDA, OR 505(b)(2), IDENTIFY THE REFERENCE LISTED DRUG PRODUCT THAT IS THE BASIS FOR THE SUBMISSION

Name of Drug \_\_\_\_\_ Holder of Approved Application \_\_\_\_\_

TYPE OF SUBMISSION (check one)    ☐ ORIGINAL APPLICATION    ☒ AMENDMENT TO A PENDING APPLICATION    ☐ RESUBMISSION  
☐ PRESUBMISSION    ☐ ANNUAL REPORT    ☐ ESTABLISHMENT DESCRIPTION SUPPLEMENT    ☐ EFFICACY SUPPLEMENT  
☐ LABELING SUPPLEMENT    ☐ CHEMISTRY MANUFACTURING AND CONTROLS SUPPLEMENT    ☐ OTHER

IF A SUBMISSION OF PARTIAL APPLICATION, PROVIDE LETTER DATE OF AGREEMENT TO PARTIAL SUBMISSION: \_\_\_\_\_

IF A SUPPLEMENT, IDENTIFY THE APPROPRIATE CATEGORY    ☐ CBE    ☐ CBE-30    ☐ Prior Approval (PA)

REASON FOR SUBMISSION-

Response to FDA Request for Information

PROPOSED MARKETING STATUS (check one)    ☒ PRESCRIPTION PRODUCT (RX)    ☐ OVER THE COUNTER PRODUCT (OTC)

NUMBER OF VOLUMES SUBMITTED N/A    THIS APPLICATION IS    ☒ PAPER    ☐ PAPER AND ELECTRONIC    ☒ ELECTRONIC

ESTABLISHMENT INFORMATION (Full establishment information should be provided in the body of the Application.)  
 Provide locations of all manufacturing, packaging and control sites for drug substance and drug product (continuation sheets may be used if necessary).  
 Include name, address, contact, telephone number, registration number (CFR), DMF number, and manufacturing steps and/or type of testing (e.g. Final dosage form, Stability testing) conducted at the site. Please indicate whether the site is ready for inspection or, if not, when it will be ready

Cross References (list related License Applications, INDs, NDAs, PMAs, 510(k)s, IDEs, BMFs, and DMFs referenced in the current application)

This application contains the following items: (Check all that apply)

- |                                     |   |   |   |
|-------------------------------------|---|---|---|
| <input type="checkbox"/>            | 1. Index  |   |   |
| <input type="checkbox"/>            | 2. Labeling (check one)   | <input type="checkbox"/> Draft Labeling | <input type="checkbox"/> Final Printed Labeling |
| <input type="checkbox"/>            | 3. Summary (21 CFR 314.50 (c))  |   |   |
| <input type="checkbox"/>            | 4. Chemistry section  |   |   |
| <input type="checkbox"/>            | A. Chemistry, manufacturing, and controls information (e.g., 21 CFR 314.50(d)(1); 21 CFR 601.2)                 |   |   |
| <input type="checkbox"/>            | B. Samples (21 CFR 314.50 (e)(1); 21 CFR 601.2 (a)) (Submit only upon FDA's request)                            |   |   |
| <input type="checkbox"/>            | C. Methods validation package (e.g., 21 CFR 314.50(e)(2)(i); 21 CFR 601.2)                                      |   |   |
| <input type="checkbox"/>            | 5. Nonclinical pharmacology and toxicology section (e.g., 21 CFR 314.50(d)(2); 21 CFR 601.2)                    |   |   |
| <input type="checkbox"/>            | 6. Human pharmacokinetics and bioavailability section (e.g., 21 CFR 314.50(d)(3); 21 CFR 601.2)                 |   |   |
| <input type="checkbox"/>            | 7. Clinical Microbiology (e.g., 21 CFR 314.50(d)(4))  |   |   |
| <input type="checkbox"/>            | 8. Clinical data section (e.g., 21 CFR 314.50(d)(5); 21 CFR 601.2)  |   |   |
| <input type="checkbox"/>            | 9. Safety update report (e.g., 21 CFR 314.50(d)(5)(vi)(b); 21 CFR 601.2)  |   |   |
| <input type="checkbox"/>            | 10. Statistical section (e.g., 21 CFR 314.50(d)(6); 21 CFR 601.2)   |   |   |
| <input type="checkbox"/>            | 11. Case report tabulations (e.g., 21 CFR 314.50(f)(1); 21 CFR 601.2)   |   |   |
| <input type="checkbox"/>            | 12. Case report forms (e.g., 21 CFR 314.50 (f)(2); 21 CFR 601.2)  |   |   |
| <input type="checkbox"/>            | 13. Patent information on any patent which claims the drug (21 U.S.C. 355(b) or (c))                            |   |   |
| <input type="checkbox"/>            | 14. A patent certification with respect to any patent which claims the drug (21 U.S.C. 355 (b)(2) or (j)(2)(A)) |   |   |
| <input type="checkbox"/>            | 15. Establishment description (21 CFR Part 600, if applicable)  |   |   |
| <input type="checkbox"/>            | 16. Debarment certification (FD&C Act 306 (k)(1))   |   |   |
| <input type="checkbox"/>            | 17. Field copy certification (21 CFR 314.50 (l)(3))   |   |   |
| <input type="checkbox"/>            | 18. User Fee Cover Sheet (Form FDA 3397)  |   |   |
| <input type="checkbox"/>            | 19. Financial Information (21 CFR Part 54)  |   |   |
| <input checked="" type="checkbox"/> | 20. OTHER (Specify) - Response to FDA Request for Information   |   |   |

#### CERTIFICATION

I agree to update this application with new safety information about the product that may reasonably affect the statement of contraindications, warnings, precautions, or adverse reactions in the draft labeling. I agree to submit safety update reports as provided for by regulation or as requested by FDA. If this application is approved, I agree to comply with all applicable laws and regulations that apply to approved applications, including, but not limited to the following:

1. Good manufacturing practice regulations in 21 CFR Parts 210, 211 or applicable regulations, Parts 606, and/or 820.
2. Biological establishment standards in 21 CFR Part 600.
3. Labeling regulations in 21 CFR Parts 201, 606, 610, 660, and/or 809.
4. In the case of a prescription drug or biological product, prescription drug advertising regulations in 21 CFR Part 202.
5. Regulations on making changes in application in FD&C Act section 505A, 21 CFR 314.71, 314.72, 314.97, 314.99, and 601.12.
6. Regulations on Reports in 21 CFR 314.80, 314.81, 600.80, and 600.81.
7. Local, state and Federal environmental impact laws.

If this application applies to a drug product that FDA has proposed for scheduling under the Controlled Substances Act, I agree not to market the product until the Drug Enforcement Administration makes a final scheduling decision.

The data and information in this submission have been reviewed and, to the best of my knowledge are certified to be true and accurate.

Warning: A willfully false statement is a criminal offense, U.S. Code, title 18, section 1001.

SIGNATURE OF RESPONSIBLE OFFICIAL OR AGENT

*Dm Bollag*

TYPED NAME AND TITLE

Daniel M. Bollag, Ph.D.  
Director, U.S. Regulatory Affairs

DATE:

08/18/04

ADDRESS (Street, City, State, and ZIP Code)

Aventis Pharmaceuticals, Inc. Route 202-206 POBox 6800 Bridgewater, NJ 08807-0890

Telephone Number

(908) 304-6431

Public reporting burden for this collection of information is estimated to average 24 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to:

Department of Health and Human Services  
Food and Drug Administration  
CDER, HFD-99  
1401 Rockville Pike  
Rockville, MD 20852-1448

Food and Drug Administration  
CDER (HFD-94)  
12229 Wilkins Avenue  
Rockville, MD 20852

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

**Bollag, Daniel PH/US**

---

**From:** Bollag, Daniel PH/US  
**Sent:** Wednesday, August 18, 2004 2:07 PM  
**To:** 'statena@cder.fda.gov'  
**Cc:** Bollag, Daniel PH/US  
**Subject:** NDA 20-449/S-029: final label changes  
**Signed By:** daniel.bollag@aventis.com  
**Security Label:** Signed & encrypted  
**Contacts:** Ann Staten

Hello Ann,

As we discussed a few minutes ago, here are the changes to the label and postmarketing commitment statements that you sent to us this morning. The Word document has the changes highlighted in revision marks.

Label:

At the time of this interim analysis, based on a total of 219 deaths, overall survival was longer for TAC than FAC (hazard ratio=0.69, 2-sided 95% CI=0.53, 0.90). (See Figure Y). There will be further analysis at the time survival data mature.

Postmarketing commitment:

To submit a complete report of the updated TAX316 data to verify the efficacy based on 700 events of DFS and safety of Taxotere in the adjuvant treatment of women with operable node-positive breast cancer and to submit the final analysis of overall survival (expected to occur in 2010).

Best regards, Dan

8/18/2004

\_\_\_\_\_ Page(s) Withheld

\_\_\_\_\_ § 552(b)(4) Trade Secret / Confidential

\_\_\_\_\_ § 552(b)(5) Deliberative Process

\_\_\_\_\_/\_\_\_\_\_ § 552(b)(5) Draft Labeling

DUPLICATE



August 5, 2004

Food and Drug Administration  
Attention: Richard Pazdur, M.D.  
Director, Division of Oncology Drug Products (HFD-150)  
Center for Drug Evaluation and Research  
Food and Drug Administration  
Woodmont 2 Document Room  
1451 Rockville Pike  
Rockville, Maryland 20852

RECEIVED

AUG - 6 2004

DDR-150/CDER

**Supplemental NDA 20-449/S-029: TAXOTERE® (docetaxel) Injection Concentrate****Amendment to Pending Supplemental NDA**  
*Response to July 30, 2004 FDA Request for Information*

Dear Dr. Pazdur:

Reference is made to the March 17, 2004 submission of supplemental New Drug Application (sNDA) 20-449/S-029.

Reference is also made to an electronic mail message received from the Food and Drug Administration (FDA) on July 30, 2004, requesting information pertaining to the review of sNDA 20-449/S-029. With this letter, Aventis is responding to the FDA's request for information.

**Question 1.** *Please explain what type of additional radiotherapy the following patients had and the difference with protocol radiotherapy: 32311, 27601, 17423.*

**Aventis Response.** Patient 32311 (TAC) had a left quadrantectomy on [redacted]. She received 2 cycles of treatment (the last TAC cycle was given on February 16, 1999) and then discontinued due to AE (allergy). She then received additional chemotherapy with FAC starting on [redacted]. She received adjuvant radiotherapy to the left breast (50 Gy) from [redacted], which was reported as "Adjuvant Radiotherapy as per Protocol" in listing L26. This radiotherapy was also reported as radiotherapy to the left breast in the module "Non-Systemic Anti-Cancer Therapy," as shown in listing L31.

Patient 27601 (FAC) had a right lumpectomy on [redacted]. She received the maximum treatment of 6 cycles, with the last cycle given on April 17, 1998. She had adjuvant radiotherapy to the right breast (50 Gy) and right supraclavicular region (50 Gy) from [redacted] 1998, as well as a boost to the right breast (10 Gy) from [redacted] (reported as "Adjuvant Radiotherapy as per Protocol" in listing L26). This radiotherapy was also incorrectly reported in the module "Non-Systemic Anti-Cancer Therapy" as radiotherapy to the right breast, as shown in listing L31.

Patient 17423 (TAC) had a right lumpectomy on [redacted]. She withdrew consent before the first administration of study drug (reason: "unhappy with assignment with treatment arm"). She then received adjuvant chemotherapy with AC-Taxol from [redacted]. She had adjuvant radiotherapy to [redacted].



the right breast (5040 cGy) from \_\_\_\_\_ and to the right axillary region (1080 cGy) from \_\_\_\_\_ (reported as "Adjuvant Radiotherapy as per Protocol" in listing L26). This radiotherapy was also reported in the module "Non-Systemic Anti-Cancer Therapy" as radiotherapy to the right breast, as shown in listing L31.

In summary, all three patients received adjuvant radiotherapy following adjuvant chemotherapy. However, patients 32311 and 17423 did not receive the full 6 cycles of assigned chemotherapy. Nevertheless, in each case, the radiotherapy could be considered as "per protocol," i.e., no "extra" radiotherapy was given.

**Question 2.** *It is very important to find the reason why patient 25501 received radiotherapy to C7 - D11. Please submit information on radiation oncologist clinical notes, imaging before radiation, etc.*

**Aventis Response.** On August, 2, 2004 Aventis sent the following response to the FDA via electronic mail:

"Per our July 8, 2004 response to the July 6, 2004 information request, subject 25501 had a baseline bone scan and bone x-ray that were suspicious but inconclusive for bone metastasis. At cycle 3, repeat imaging confirmed the bone metastasis, and the TNM status at baseline was therefore changed to M1 by IRF. Hence, this patient is considered to have had a DFS event at baseline, and all radiotherapy received by this patient was by definition post-DFS. The CRF does not capture the reason for radiotherapy under such circumstances."

It should be clarified that, contrary to what was stated in the above response, patient 25501 was not considered to have had a DFS event at baseline. Rather, she was considered to be metastatic at baseline. According to the Intention to Treat principle, her DFS was to be calculated once she had relapsed, which in this case was when she showed progression of her metastatic disease on January 1, 2001. Thus, a breast cancer relapse (BCR) was assigned on this date, as shown in listing L30. After 6 cycles of FAC (the last cycle was given on November 16, 1998) and before her BCR, she had radiotherapy to the spine (C7-D11) from \_\_\_\_\_ as well as chemotherapy (Taxotere on \_\_\_\_\_ and bisphosphonates (pamidronate on \_\_\_\_\_) as described in listing L31.

A copy of this submission was forwarded to Commander Ann Staten (FDA) via electronic mail on August 5, 2004. Please contact Cheryl Anderson at 908-304-6471, for all matters regarding this submission.

Sincerely,

*Michael D. Rozycki*

Michael D. Rozycki, Ph.D.  
Director, Regulatory Affairs

Enc: Form FDA 356

SE1.029-BM  
DUPLICATE



August 4, 2004

**INDA SUPPL AMEND**

Food and Drug Administration  
Attention: Richard Pazdur, M.D.  
Director, Division of Oncology Drug Products (HFD-150)  
Center for Drug Evaluation and Research  
Food and Drug Administration  
Woodmont 2 Document Room  
1451 Rockville Pike  
Rockville, Maryland 20852

RECEIVED  
AUG - 5 2004  
DDR-150/CDER

**Supplemental NDA 20-449/S-029: TAXOTERE® (docetaxel) Injection Concentrate**

**Amendment to Pending Supplemental NDA**

*Responses to FDA Requests for Information*

Dear Dr. Pazdur:

Reference is made to the March 17, 2004 submission of supplemental New Drug Application (sNDA) 20-449/S-029.

Reference is also made to electronic mail queries received from the Food and Drug Administration (FDA) on June 15, 16, 17, 22, July 6 (2 queries), 8, 9, 12 (2 queries), 13, 14, 19, and 27, 2004, requesting information pertaining to the review of sNDA 20-449/S-029. Aventis provided responses via electronic mail to these information requests on June 18 (2 responses), 22, 23, July 8 (4 responses), 14 (2 responses), 15, 16 (2 responses), 20, 21, and 28, 2004.

With this letter, Aventis is submitting to sNDA 20-449/S-029 the responses, listed above, that have previously been sent to the FDA via electronic mail. These responses are included herewith in the Appendix.

Please contact me at 908-304-6412 (Fax: 908-304-6549) or, in my absence, Cheryl Anderson at 908-304-6471, for all matters regarding this submission.

Sincerely,

A handwritten signature in cursive script that reads "Michael D. Rozycki".

Michael D. Rozycki, Ph.D.  
Director, Regulatory Affairs

Enc: Form FDA 356h  
Appendix

DUPLICATE



NDA SUPPL AMEND  
SEI-029-BM

August 3, 2004

RECEIVED

AUG - 4 2004

DDR-150/CDER

Food and Drug Administration  
Attention: Richard Pazdur, M.D.  
Director, Division of Oncology Drug Products (HFD-150)  
Center for Drug Evaluation and Research  
Food and Drug Administration  
Woodmont 2 Document Room  
1451 Rockville Pike  
Rockville, Maryland 20852

**Supplemental NDA 20-449/S-029: TAXOTERE® (docetaxel) Injection Concentrate**

**Amendment to Pending Supplemental NDA**

*Response to FDA Requests for Information*

Dear Dr. Pazdur:

Reference is made to the March 17, 2004 submission of supplemental NDA 20-449/S-029.

With this letter, Aventis is submitting responses to the following information requests received from Cmdr. Ann Staten, Food and Drug Administration, Division of Oncology Drug Products, on July 29, 2004 via electronic mail:

**Question 1:** *Please provide the definition for the HORMONOREC dataset. We need definition of ERSTA and PGRSTA 1,2,3,4 and A.*

**Response to Question 1:** The codes used are as follows: 1 = Negative; 3 = Positive; 4 = Not Assessable; A = Not Done, when confirmed by the investigator (i.e., "Not Done" box checked for Biochemical Method or Immunocytochemistry tests on page B-9 of the case report form). The number "2" is not used.

**Question 2:** *You reported — hypersensitivity reactions on the proposed labeling. Please explain what type of reactions and submit all the information or explain where is the information located in the Study Report.*

**Response to Question 2:** Hypersensitivity reactions reported in the proposed labeling consist of all events reported as "allergy" in Table 47 of the TAX 316 clinical study report; namely, literal terms from investigators that were coded to the NCI term "allergy", as well as events identified with the preprinted term "allergy" on the case report form. The term "hypersensitivity" was chosen for labeling purposes in lieu of "allergy" in order maintain consistency in the TAXOTERE labeling.

**Question 3:** *Please send all the information you have regarding the three patients with leukemia e.g. cytogenetics, classification, etc.*

**Response to Question 3:** At the time of submission of the sNDA (March 17, 2004), three cases of leukemia (patients 13510, 24105, and 40701) were reported in the clinical database for study TAX 316. A fourth case (patient 10621) was also reported in the study report for TAX 316 because this case occurred after the data base lock for the second interim analysis for TAX 316 but prior to the submission of the sNDA. Comparative information for patients 13510, 24105, 40701, and 10621 is included in the Table in Appendix 1 to this submission. Additional information is available in the CIOMS forms for these patients, included in Appendix 2.

A fifth case, patient 27602, involves possible myelodysplastic syndrome, but was reported as non-serious and unrelated to study chemotherapy according to the investigator. This case was reported in the 120-day safety update for sNDA 20-449/S-029 that was submitted on July 16, 2004. Information for this case is not included in the table in Appendix 1.

A copy of this submission was forwarded via electronic mail to Cmdr. Staten on August 2, 2004. Please contact me at 908-304-6412 (Fax: 908-304-6549) or, in my absence, Cheryl Anderson at 908-304-6471, for all matters regarding this submission.

Sincerely,

A handwritten signature in cursive script that reads "Michael D. Rozycki".

Michael D. Rozycki, Ph.D.  
Director, Regulatory Affairs

Enc: Form FDA 356h  
2 Appendices

**Staten, Ann M**

---

**From:** Gohel, Lopa  
**Sent:** Tuesday, August 03, 2004 2:34 PM  
**To:** Staten, Ann M  
**Subject:** RE: taxotere labeling meeting

Hi Ann:  
I reviewed the label and do not have any comments at this time.  
Thanks,  
Lopa

-----Original Message-----

**From:** Staten, Ann M  
**Sent:** Tuesday, August 03, 2004 10:08 AM  
**To:** Gohel, Lopa  
**Subject:** RE: taxotere labeling meeting

-----Original Message-----

**From:** Gohel, Lopa  
**Sent:** Tuesday, August 03, 2004 10:03 AM  
**To:** Staten, Ann M  
**Subject:** taxotere labeling meeting

Hi Ann:  
I am not in the office tomorrow and will be unable to attend the taxotere labeling meeting. Do you have a copy of the label that I can review and send for comments if necessary?

Thanks,  
Lopa

8/3/2004

3 Page(s) Withheld

       § 552(b)(4) Trade Secret / Confidential

✓ § 552(b)(5) Deliberative Process

       § 552(b)(5) Draft Labeling

**Staten, Ann M**

---

**From:** Staten, Ann M  
**Sent:** Friday, July 30, 2004 10:14 AM  
**To:** 'Michael.Rozycki@aventis.com'  
**Subject:** NDA information request s-029

**Importance:** High

Mike,

Here is another information request.

Please submit ASAP the following information:

- Please explain what type of additional radiotherapy the following patients had and the difference with protocol radiotherapy: 32311, 27601, 1743
- It is very important to find the reason why patient 25501 received radiotherapy to C7 - D11. Please submit information on radiation oncologist clinical notes, imaging before radiation, etc.

Thank you,

Ann

**APPEARS THIS WAY  
ON ORIGINAL**

**Staten, Ann M**

---

**From:** Staten, Ann M  
**Sent:** Thursday, July 29, 2004 10:22 AM  
**To:** 'Michael.Rozycki@aventis.com'  
**Subject:** FW: TAXOTERE nda INFO REQUEST

**Importance:** High

Here is another.

Thanks!

You reported ~ hypersensitivity reactions on the proposed labeling. Please explain what type of reactions and submit all the information or explain where is the information located in the Study Report.

APPEARS THIS WAY  
ON ORIGINAL



**Staten, Ann M**

---

**From:** Staten, Ann M  
**Sent:** Thursday, July 29, 2004 10:39 AM  
**To:** 'Michael.Rozycki@aventis.com'  
**Subject:** Urgent Taxotere NDA info request

**Importance:** High

Please see below request to be submitted asap.

Thanks!

Please provide the definition for the HORMONOREC dataset. We need definition of ERSTA and PGRSTA 1,2,3,4 and A.

APPEARS THIS WAY  
ON ORIGINAL

**Staten, Ann M**

---

**From:** Staten, Ann M  
**Sent:** Thursday, July 29, 2004 10:41 AM  
**To:** 'Michael.Rozycki@aventis.com'  
**Subject:** FW: Taxotere NDA information request

And another! I am sorry for not batching the requests.

Thanks,  
ann

Please send all the information you have regarding the three patients with leukemia e.g. cytogenetics, classification, etc..

APPEARS THIS WAY  
ON ORIGINAL

**Staten, Ann M**

---

**From:** Staten, Ann M  
**Sent:** Tuesday, July 27, 2004 12:46 PM  
**To:** 'Michael.Rozycki@aventis.com'  
**Subject:** Taxotere info request

**Importance:** High

Dear Mike,

Here is another request from the medical review:

Please submit an adverse event narrative for patient 10403 whose cause of death was stated in the Study Report as "cardiopathy".

Thanks,  
Ann

REPRODUCED THIS WAY  
ON ORIGINAL

**Staten, Ann M**

---

**From:** Staten, Ann M  
**Sent:** Wednesday, July 14, 2004 3:09 PM  
**To:** 'Michael.Rozycki@aventis.com'  
**Subject:** Taxotere NDA information request

Hi Mike,

Here is another quest for clarification just received.

**The number of patients who had breast conserving surgery and mastectomy from Tables 18 and 24 are not the same. Please explain the difference.**

Thanks,  
Ann

APPEARS THIS WAY  
ON ORIGINAL

**Staten, Ann M**

---

**From:** Staten, Ann M  
**Sent:** Tuesday, July 13, 2004 9:33 AM  
**To:** 'Michael.Rozycki@aventis.com'  
**Subject:** Taxotere NDA information request

Dear Mike,

Here are three more information requests.

1. Please explain why the number of events from Table 29 of the Study Report (316.pdf, pg 136) and Tables from 3785, 3786, 3787 are not the same. For example:
  - the number of patients with distant relapse are 115 (TAC) and 158 (FAC) on Table 29 and 116 (TAC), 159 (FAC) on page 3785.
  - the number of patients with second primary malignancies are 20 (TAC) and 26 (FAC) on Table 29 and 29 (TAC), 34 (FAC) on page 3786 and 3787.
2. The number of patients with contralateral breast cancer from table 9 (page 136) and page 3787 is not the same. Please explain the difference and separate DCIS from invasive breast cancer.
3. Please provide the following information in a table:

Site of loco-regional recurrence	TAC arm	FAC arm
Total # with locoregional recurrence		
Chest wall		
Ipsilateral breast		
Other regional lymph nodes		
Axillary lymph nodes		

Thanks!  
Ann

APPEARS THIS WAY  
ON ORIGINAL

**Staten, Ann M**

---

**From:** Staten, Ann M  
**Sent:** Monday, July 12, 2004 12:06 PM  
**To:** 'Michael.Rozycki@aventis.com'  
**Subject:** Taxotere NDA information request

Dear Mike,

We have 2 additional requests:

1. Please submit detailed information on post-study therapy for all patients who withdrew or had an event.
2. Did any patient receive bisphosphonates after randomization? If the answer is yes, please provide detailed information.

Thanks,  
Ann

APPEARS THIS WAY  
ON ORIGINAL

Pease, Dorothy W

---

W DFS

**From:** Pease, Dorothy W  
**nt:** Monday, July 12, 2004 8:28 AM  
**:** 'Michael.Rozycki@aventis.com'  
**Cc:** Staten, Ann M  
**Subject:** Taxotere NDA 20-449/S029

Another clinical request:

The study report states (section 6.3.4.2) that 10 patients received prior anti-tumor treatments such as surgery, radiotherapy, chemotherapy (TAC) and hormonotherapy. Please submit detailed information including patient identification, treatments received and timing with respect to randomization.

Dotti Pease  
Chief, Project Management Staff  
Division of Oncology Drug Products, HFD-150  
301-594-5742/301-594-0498 (fax)

APPEARS THIS WAY  
ON ORIGINAL

**Pease, Dorothy W**

---

**Fr**  
**From:** Cortazar, Patricia  
Friday, July 09, 2004 2:47 PM  
Pease, Dorothy W  
**To:** Dagher, Ramzi; Cortazar, Patricia  
**Subject:** Taxotere NDA info request

Dotti:

Please send the following information request to the sponsor.

- The study report states (section 6.3.4.2) that 10 patients received prior anti-tumor treatments such as surgery, radiotherapy, chemotherapy (TAC) and hormonotherapy. Please submit detailed information including patient identification, treatments received and timing with respect to randomization.

Thanks

Patricia Cortazar, MD

Medical Officer

Division of Oncology Drug Products

FDA

APPEARS THIS WAY  
ON ORIGINAL



**Pease, Dorothy W**

---

**From:** Michael.Rozycki@aventis.com  
**Sent:** Friday, July 09, 2004 11:35 AM  
**To:** PEASE@cder.fda.gov  
**Cc:** STATENA@cder.fda.gov  
**Subject:** RE: Taxotere 20-449/S029

Dotti,

I have received the request and will provide an answer ASAP.

Thanks,  
-Mike

**Michael Rozycki, Ph.D.**

Director, Oncology Regulatory Affairs  
Global Regulatory Liaison, TAXOTERE  
Aventis Pharmaceuticals, Inc.  
Mail Code BX2-209G  
200 Crossing Boulevard  
Bridgewater, NJ 08807  
Phone: 908-304-6412  
Fax: 908-304-6549

-----Original Message-----

**From:** Pease, Dorothy W [mailto:PEASE@cder.fda.gov]  
**Sent:** Friday, July 09, 2004 7:43 AM  
**To:** Rozycki, Michael PH/US  
**Cc:** Staten, Ann M  
**Subject:** RE: Taxotere 20-449/S029

Additional request:

**Please describe the following data from Table 27 "Major Protocol Deviations During Follow-up":**

- **Patient ID, hormonal therapy received and reason for deviation from protocol.**
- **Patient ID, type of surgery and reason**
- **Patient ID, radiation therapy and reason**
- **Please confirm other therapies were ovarian ablation and reason for protocol deviation.**

**Reason ER PR positive patients did not received hormonal therapy.**

Dotti

7/9/2004

**Pease, Dorothy W**

*entered in  
DFS*

**From:** Pease, Dorothy W  
**Sent:** Friday, July 09, 2004 7:43 AM  
**To:** 'Michael.Rozycki@aventis.com'  
**Cc:** Staten, Ann M  
**Subject:** RE: Taxotere 20-449/S029

Additional request:

**Please describe the following data from Table 27 "Major Protocol Deviations During Follow-up":**

- Patient ID, hormonal therapy received and reason for deviation from protocol.
- Patient ID, type of surgery and reason
- Patient ID, radiation therapy and reason
- Please confirm other therapies were ovarian ablation and reason for protocol deviation.

Reason ER PR positive patients did not received hormonal therapy.

Dotti

APPEARS THIS WAY  
ON ORIGINAL

**Pease, Dorothy W**

7/8/04 to PC

**From:** Michael.Rozycki@aventis.com  
**Sent:** Thursday, July 08, 2004 2:32 PM  
**To:** PEASE@cder.fda.gov  
**Cc:** statena@cder.fda.gov  
**Subject:** RE: Additional Taxotere questions

Dear Dottie,

Please forward the following response to the Reviewer.

**Question 1. Patient 11803 had 2 cycles of TAC and withdrew due to G3 skin (according to the Listing of treatment discontinuation due to AE). I can not find this information in the CRF. Please direct me where can I find the information in the CRF and specify the type of skin event.**

**Response:** For patient 11803, the CRF entries in the AE module appear on CRF page C6, cycle 2, as follows: AE= Skin; grade= 3; serious= no; stop date=ongoing; action taken=1 (i.e. discontinued); relation to study drug=3 (i.e. probable). This information is displayed in Stat Table 1.04a as well as in listings L14 (AEs) and L25 (reason for discontinuation from study treatment).

There is no verbatim description of the AE provided by the investigator other than the NCI-CTC term "Skin". Referring to NCI-CTC version 1.0 definitions (as provided in the study CRF completion guidelines as well as in the study protocol in Appendix 3), Grade 3 for the term "Skin" means "generalized, symptomatic macular, papular, or vesicular eruption".

**Question 2. Please explain the location in the electronic submission for the "listing of patients with non protocol therapy reasons for treatment discontinuation". Your response to a previous FDA information request did not include AEs that cause treatment discontinuation for patients: 22502, 21202, 21731, 20803, 21312, 25501, 23904, 13705, 17608, 27601, 28402, 17423, 30301 and 40401.**

**Response:** The listing, "Listing of patients with non protocol therapy - reasons for treatment discontinuation" was created *ad hoc* to answer the Reviewer's question of June 22, 2004 (see response to Reviewer submitted via e-mail on June 23, 2004). This listing does not appear *per se* in the electronic submission of the sNDA (March 17, 2004), but data used to produce this listing was extracted from Statistical Table 3.12 and listing L25 (reason for discontinuation from study treatment), which are included in the electronic submission.

Of the 14 patients listed in the question, 13 did not discontinue due to AEs. 22502, 25501, 27601 (all FAC) and 23904 (TAC) completed the maximum of 6 cycles as per protocol (see L25), and their discontinuation was not caused by any AEs. 21202, 20803, 21312, 13705, 17423 (all FAC) discontinued due to "consent withdrawn", all of them because they refused the assigned treatment and wanted to receive the experimental arm (see L25, Stat Table 1.04c). Again, their discontinuation was not caused by any AEs. 17608 (TAC) received 2 cycles of treatment and then discontinued due to "consent withdrawn"; the reason cited was "does not want anymore Taxotere". AEs present at the last cycle (= cycle 2) are listed in Stat Table 1.04c and in listing L14. None of them caused treatment discontinuation for this patient. The following subjects discontinued due to "OTHER" (their discontinuation was not caused by any AEs): 21731 (TAC- not treated), reason = "pt ineligible due to low neutrophil count prior to first infusion"; 28402 (FAC 4 cycles), reason = "Doctor wished to treat with Taxol"; AEs present at last cycle (= cycle 4) are listed in L14: 30301 (FAC- not treated), reason = "misunderstanding of post admission excusion criteria".

Patient 40401 (TAC) did discontinue after 3 cycles due to a grade 1 fever in absence of infection (see L14, L25, Stat Table 1.04a). This patient is listed in the "listing of patients with non protocol therapy - reasons for treatment discontinuation" that was attached to the Sponsor's response e-mail of June 23, 2004.

Please let me know if there are any further questions.

Thanks and best regards,  
-Mike

**Michael Rozycki, Ph.D.**  
Director, Oncology Regulatory Affairs  
Global Regulatory Liaison, TAXOTERE

7/8/2004

Pease, Dorothy W

**From:** Pease, Dorothy W  
**Sent:** Friday, July 09, 2004 7:29 AM  
**To:** Cortazar, Patricia  
**Cc:** Staten, Ann M  
**Subject:** FW: Request re: Taxotere for Adj. Breast CA



mmsinfo.txt  
(445 B)

-----Original Message-----

**From:** Michael.Rozycki@aventis.com [mailto:Michael.Rozycki@aventis.com]  
**Sent:** Thursday, July 08, 2004 4:20 PM  
**To:** PEASE@cdcr.fda.gov  
**Cc:** STATENA@cdcr.fda.gov  
**Subject:** FW: Request re: Taxotere for Adj. Breast CA

Dear Dottie,

My deepest apologies but I need to correct this response again. The corrected response to the first question should read as follows:

**Question 1. Please explain if patients # 12212, 20613, 21204 and 25501 had biopsy confirmation of metastatic disease at baseline.**

**Response:** For each of these 4 patients (12212, 20613, 21204, and 25501), metastatic disease at baseline was determined only by imaging; there was no confirmation by biopsy. In each case, the original TNM status entry in the CRF was M0.

- In two of these cases (20613 and 25501), the original CRF entries for imaging at baseline were consistent with such an M0 status. However, the actual findings were unconfirmed. As the subjects progressed into treatment, further imaging demonstrated that these initial suspicious but unconfirmed-for-tumor images were in fact truly indicative of metastatic spots at baseline. More specifically, subject 20613 had a bone scan at baseline with suspicious spots, which at cycle 3 of study treatment was confirmed to be evidence of metastasis. Subject 25501 had a baseline bone scan and bone X-ray that were both suspicious, but inconclusive. At cycle 3, repeat imaging proved these images to be indicative of metastasis. By IRF, in both cases, the TNM status at baseline was then changed to M1.
- For the other two cases (12212 and 21204), the original CRF entries for imaging at baseline were inconsistent with such an M0 status. 12212 had baseline liver involvement evidence based on US, suspicious at the time of randomization but then later confirmed at cycle 6 by repeat exam to be indicative of liver tumor spot. The original CRF entry was made at the time when such a conclusion was reached, thus indicated liver lesion =yes, even though the same CRF said M0. M0 was later changed to M1 by IRF. A similar situation occurred for subject 21204, who at baseline had a suspicious ipsilateral supraclavicular node, which was confirmed via cycle 2 imaging.

Again, my apologies for this confusion.

Best regards,  
-Mike

**Michael Rozycki, Ph.D.**  
Director, Oncology Regulatory Affairs  
Global Regulatory Liaison, TAXOTERE  
Aventis Pharmaceuticals, Inc  
Mail Code BX2-209G  
3 Crossing Boulevard  
Edgewater, NJ 08807  
Phone: 908-304-6412  
Fax: 908-304-6549

**Pease, Dorothy W**

---

**From:** Cortazar, Patricia  
**Sent:** Thursday, July 08, 2004 3:21 PM  
**To:** Pease, Dorothy W  
**Subject:** Taxotere NDA information request

Dotti:  
Please forward the following.

**Please describe the following data from Table 27 "Major Protocol Deviations During Follow-up":**

- **Patient ID, hormonal therapy received and reason for deviation from protocol.**
- **Patient ID, type of surgery and reason**
- **Patient ID, radiation therapy and reason**
- **Please confirm other therapies were ovarian ablation and reason for protocol deviation.**
- **Reason ER PR positive patients did not received hormonal therapy.**

Thank you  
Patricia Cortazar, MD  
Medical Officer  
Division of Oncology Drug Products  
FDA

APPEARS THIS WAY  
ON ORIGINAL

**Pease, Dorothy W**

---

**From:** Michael.Rozycki@aventis.com  
**Sent:** Thursday, July 08, 2004 3:17 PM  
**To:** PEASE@cder.fda.gov  
**Cc:** STATENA@cder.fda.gov  
**Subject:** FW: Request re: Taxotere for Adj. Breast CA

Dear Dottie,

My previous reply contained a small but significant error. The first bullet of the response to question 1 should read: "In two of these cases (20613 and 25501), the original CRF entries for imaging at baseline were inconsistent with such an M0 status."

The entire corrected response should read:

**Question 1. Please explain if patients # 12212, 20613, 21204 and 25501 had biopsy confirmation of metastatic disease at baseline.**

**Response:** For each of these 4 patients (12212, 20613, 21204, and 25501), metastatic disease at baseline was determined only by imaging; there was no confirmation by biopsy. In each case, the original TNM status entry in the CRF was M0.

- In two of these cases (20613 and 25501), the original CRF entries for imaging at baseline were inconsistent with such an M0 status. However, the actual findings were unconfirmed. As the subjects progressed into treatment, further imaging demonstrated that these initial suspicious but unconfirmed-for-tumor images were in fact truly indicative of metastatic spots at baseline. More specifically, subject 20613 had a bone scan at baseline with suspicious spots, which at cycle 3 of study treatment was confirmed to be evidence of metastasis. Subject 25501 had a baseline bone scan and bone X-ray that were both suspicious, but inconclusive. At cycle 3, repeat imaging proved these images to be indicative of metastasis. By IRF, in both cases, the TNM status at baseline was then changed to M1.
- For the other two cases (12212 and 21204), the original CRF entries for imaging at baseline were consistent with such an M0 status. 12212 had baseline liver involvement evidence based on US, suspicious at the time of randomization but then later confirmed at cycle 6 by repeat exam to be indicative of liver tumor spot. The original CRF entry was made at the time when such a conclusion was reached, thus indicated liver lesion =yes, even though the same CRF said M0. M0 was later changed to M1 by IRF. A similar situation occurred for subject 21204, who at baseline had a suspicious ipsilateral supraclavicular node, which was confirmed via cycle 2 imaging.

My apologies for any confusion.

Best regards,  
-Mike

**Michael Rozycki, Ph.D.**

Director, Oncology Regulatory Affairs  
Global Regulatory Liaison, TAXOTERE  
Aventis Pharmaceuticals, Inc.  
Mail Code BX2-209G  
200 Crossing Boulevard  
Bridgewater, NJ 08807  
Phone: 908-304-6412  
Fax: 908-304-6549

-----Original Message-----

**From:** Rozycki, Michael PH/US  
**Sent:** Thursday, July 08, 2004 2:50 PM  
**To:** 'PEASE@cder.fda.gov'  
**Cc:** 'STATENA@cder.fda.gov'

7/9/2004

**Subject: RE: Request re: Taxotere for Adj. Breast CA**

Dear Dottie,

Please forward the following response to the reviewer.

**Question 1. Please explain if patients # 12212, 20613, 21204 and 25501 had biopsy confirmation of metastatic disease at baseline.**

**Response:** For each of these 4 patients (12212, 20613, 21204, and 25501), metastatic disease at baseline was determined only by imaging; there was no confirmation by biopsy. In each case, the original TNM status entry in the CRF was M0.

- In two of these cases (20613 and 25501), the original CRF entries for imaging at baseline were consistent with such an M0 status. However, the actual findings were unconfirmed. As the subjects progressed into treatment, further imaging demonstrated that these initial suspicious but unconfirmed-for-tumor images were in fact truly indicative of metastatic spots at baseline. More specifically, subject 20613 had a bone scan at baseline with suspicious spots, which at cycle 3 of study treatment was confirmed to be evidence of metastasis. Subject 25501 had a baseline bone scan and bone X-ray that were both suspicious, but inconclusive. At cycle 3, repeat imaging proved these images to be indicative of metastasis. By IRF, in both cases, the TNM status at baseline was then changed to M1.
- For the other two cases (12212 and 21204), the original CRF entries for imaging at baseline were consistent with such an M0 status. 12212 had baseline liver involvement evidence based on US, suspicious at the time of randomization but then later confirmed at cycle 6 by repeat exam to be indicative of liver tumor spot. The original CRF entry was made at the time when such a conclusion was reached, thus indicated liver lesion =yes, even though the same CRF said M0. M0 was later changed to M1 by IRF. A similar situation occurred for subject 21204, who at baseline had a suspicious ipsilateral supraclavicular node, which was confirmed via cycle 2 imaging.

**Question 2. Please explain which regional lymph nodes were found metastatic and method of diagnosis for patients # 24507, 18302 and 26807.**

**Response:**

For all subjects entered in the study, pathological node status as determined on the basis of pathological review of resected lymph nodes was required and eligibility for the study was defined as pN status = pN1 [mobile ipsilateral axillary node(s)].

Upon review and monitoring of source data, all three index subjects (24507, 18302 and 26807) were confirmed pN2 [ipsilateral lymph node(s) fixed to one another or adjacent structure].

In two cases (24507 and 18302) such a pN stage was mentioned at time of randomization request, but this information was mis-analyzed, the subject deemed eligible and thus randomized. In the third case, (26807), the original entry indicated pN1, which was later changed by investigator to pN2 as a result of the sponsor review on-site of source documents against the CRF.

No information is available in the database and CRF beyond pN2 regarding the exact anatomical location of those ipsilateral fixed axillary nodes.

Please let me know if there are any further questions.

Thanks and best regards,  
-Mike

**Michael Rozycki, Ph.D.**  
Director, Oncology Regulatory Affairs  
Global Regulatory Liaison, TAXOTERE  
Aventis Pharmaceuticals, Inc

7/9/2004

**Pease, Dorothy W**

---

**From:** Cortazar, Patricia  
**Sent:** Thursday, July 08, 2004 11:36 AM  
**To:** Pease, Dorothy W  
**Cc:** Dagher, Ramzi; Cortazar, Patricia  
**Subject:** Taxotere NDA question to sponsor

Dotti:

Please submit the following information request to the sponsor:

- **Please send complete information on the number of cycles received of non-allowed chemotherapy and reasons for receiving non protocol therapy for patients in the attached table:**



Non- allowed  
erapy.doc (64 K)

Thank you,  
Patricia Cortazar, MD  
Medical Officer  
Division of Oncology Drug Products  
FDA

ATTACH THIS WAY  
ON ORIGINAL



Patient ID	TAC Arm 745 (100%)		FAC Arm 746 (100%)	
	TAC	Other therapy received/ reason	FAC	Other therapy received/ reason
12502	1	FAC/ AE: G3 vomiting, skin		
22502			6	FEC/Thiotepa
11207	1	AC/ AE: G3 stomatitis, abdominal pain, anorexia		
21202				FAC plus Taxotere
21716	2	FAC/ AE: G2 diarrhea, nausea		
21728	1	FAC/ AE: G3 allergy		
21731		FAC		
21733	3	FAC/ AE: G3 allergy		
11803	2	AC/ AE: G3 skin		
12103	2	FAC/ AE: diarrhea		
12109	4	FAC/ AE: increased creatinine		
12308	4	FAC/ AE: fever with no infection		
12314	3	FAC/ AE: fever with no infection		
12317	4	FAC/ AE: generalized edema		
22312	2	FAC/ AE: G3 allergy		
22702	2	FAC/ AE: G2 allergy		
12002	1	AC/ AE: abdominal pain, stomatitis, nausea		
22004	3	AC/ AE: G3 pulmonary		
20803			0	AC followed by Taxol
21312				TAC
12211	5	FAC/ AE: fever with no infection, cardiac arrhythmia		
12214	3	TFA		
25501			6	Taxotere/Pamidronate
15002	1	AC/ AE: enteritis		
15006	1	AC/ AE: G4 allergy		
25010	4	Epirubicin/Cyclophosphamide/ AE: fever with no infection		
16301			3	Metotrexate/SFU/Genoxal
13418	1	FAC/ AE: G2 allergy		
26802	5	AC/ AE: G2 neurosensory		
23904	6	SFU/Carboplatin/Vblastin		
13705			0	TAC
32311	2	FAC/ AE: G3 allergy		
17608	2	AC		
27601			6	HDCT
27602	5	Thiotepa/Mitoxantrone/aminof/ AE: fever with no infection		
17902	2	FAC/ AE: G3 allergy		
28402			4	Taxol
17206	2	FAC/ AE: cardiac ischemia		
29701	3	AC/ AE: fever with no infection		
17423			0	AC/Taxol
18001	2	CMF/ AE: G3 infection		
19201	1	AC/ AE: G3 allergy		
30301			0	AC
42001			0	TAC
40401	3	AC/ AE: fever with no infection		

**Pease, Dorothy W**

*Not to enter in DFI*

**From:** Pease, Dorothy W  
**Sent:** Thursday, July 08, 2004 11:26 AM  
**To:** 'Michael.Rozycki@aventis.com'  
**Cc:** Staten, Ann M  
**Subject:** Taxotere 20-449/S030

*Done  
7-9-04*

Please refer to your supplemental new drug application NDA 20-449 S-030, dated 5-Apr-04. This application provides for manufacturing and site changes for the manufacture for the drug substance docetaxel. Additionally, changes in test procedures/specifications are proposed for some reagents. However, we are unable to find any description and discussion on the intended reagent changes in Sections 1 (Introduction) and 2 (Results obtained with proposed Changes and Justification). Please indicate the proposed changes involve only the reagents related to the — changes.

Dotti Pease  
Chief, Project Management Staff  
Division of Oncology Drug Products, HFD-150  
301-594-5742/301-594-0498 (fax)

**Pease, Dorothy W**

---

**From:** Dagher, Ramzi  
**Sent:** Wednesday, July 07, 2004 3:07 PM  
**To:** 'michael.rozycki@aventis.com'  
**Cc:** Pease, Dorothy W; Staten, Ann M  
**Subject:** taxotere approval summary for prostate cancer



CCRprostate.doc (151 KB)

Dear Mike,

If you could have any comments or suggestions to us by close of business Monday, that would be great.

Best Regards,

Ramzi Dagher,  
DODP

Aventis Pharmaceuticals, Inc.  
Mail Code BX2-209G  
200 Crossing Boulevard  
Bridgewater, NJ 08807  
Phone: 908-304-6412  
Fax: 908-304-6549

-----Original Message-----

**From:** Pease, Dorothy W [mailto:PEASE@cder.fda.gov]  
**Sent:** Tuesday, July 06, 2004 4:27 PM  
**To:** Rozycki, Michael PH/US  
**Subject:** Additional Taxotere questions

- Patient 11803 had 2 cycles of TAC and withdrew due to G3 skin (according to the Listing of treatment discontinuation due to AE). I can not find this information in the CRF. Please direct me where can I find the information in the CRF and specify the type of skin event.
- Please explain the location in the electronic submission for the "listing of patients with non protocol therapy reasons for treatment discontinuation". Your response to a previous FDA information request did not include AEs that cause treatment discontinuation for patients: 22502,21202, 21731,20803, 21312,25501, 23904, 1370517608, 27601, 28402, 17423, 30301 and 40401.

Dotti Pease  
Chief, Project Management Staff  
Division of Oncology Drug Products, HFD-150  
301-594-5742/301-594-0498 (fax)

**Pease, Dorothy W**

---

*Need to enter  
in DFS*

**From:** Pease, Dorothy W  
**Sent:** Tuesday, July 06, 2004 4:27 PM  
**To:** 'Michael.Rozycki@aventis.com'  
**Subject:** Additional Taxotere questions

*Levi*

- Patient 11803 had 2 cycles of TAC and withdrew due to G3 skin (according to the Listing of treatment discontinuation due to AE). I can not find this information in the CRF. Please direct me where can I find the information in the CRF and specify the type of skin event.
- Please explain the location in the electronic submission for the "listing of patients with non protocol therapy reasons for treatment discontinuation". Your response to a previous FDA information request did not include AEs that cause treatment discontinuation for patients: 22502,21202, 21731,20803, 21312,25501, 23904, 1370517608, 27601, 28402, 17423, 30301 and 40401.

Dotti Pease  
Chief, Project Management Staff  
Division of Oncology Drug Products, HFD-150  
301-594-5742/301-594-0498 (fax)

Mail Code BX2-209G  
200 Crossing Boulevard  
Bridgewater, NJ 08807  
Phone: 908-304-6412  
Fax: 908-304-6549

---

-----Original Message-----

**From:** Pease, Dorothy W [mailto:PEASE@cder.fda.gov]  
**Sent:** Tuesday, July 06, 2004 7:45 AM  
**To:** Rozycki, Michael PH/US  
**Cc:** Staten, Ann M  
**Subject:** Request re: Taxotere for Adj. Breast CA

Request from our medical reviewer:

For the patients found with metastatic disease at baseline:

- Please explain if patients # 12212, 20613, 21204 and 25501 had biopsy confirmation of metastatic disease at baseline.
- Please explain which regional lymph nodes were found metastatic and method of diagnosis for patients # 24507, 18302 and 26807.

Dotti Pease  
Chief, Project Management Staff  
Division of Oncology Drug Products, HFD-150  
301-594-5742/301-594-0498 (fax)

**Pease, Dorothy W**

---

**From:** Michael.Rozycki@aventis.com  
**Sent:** Tuesday, July 06, 2004 9:37 AM  
**To:** PEASE@cder.fda.gov  
**Cc:** STATENA@cder.fda.gov  
**Subject:** RE: Request re: Taxotere for Adj. Breast CA

Thanks, Dotti. I will discuss with our Taxotere team and provide you with an answer ASAP.

Best regards,  
-Mike

**Michael Rozycki, Ph.D.**  
Director, Oncology Regulatory Affairs  
Global Regulatory Liaison, TAXOTERE  
Aventis Pharmaceuticals, Inc.  
Mail Code BX2-209G  
200 Crossing Boulevard  
Bridgewater, NJ 08807  
Phone: 908-304-6412  
Fax: 908-304-6549

-----Original Message-----

**From:** Pease, Dorothy W [mailto:PEASE@cder.fda.gov]  
**Sent:** Tuesday, July 06, 2004 7:45 AM  
**To:** Rozycki, Michael PH/US  
**Cc:** Staten, Ann M  
**Subject:** Request re: Taxotere for Adj. Breast CA

Request from our medical reviewer:

For the patients found with metastatic disease at baseline:

- Please explain if patients # 12212, 20613, 21204 and 25501 had biopsy confirmation of metastatic disease at baseline.
- Please explain which regional lymph nodes were found metastatic and method of diagnosis for patients # 24507, 18302 and 26807.

Dotti Pease  
Chief, Project Management Staff  
Division of Oncology Drug Products, HFD-150  
301-594-5742/301-594-0498 (fax)

in DFS

**Pease, Dorothy W**

---

**From:** Pease, Dorothy W  
**Sent:** Tuesday, July 06, 2004 7:45 AM  
**To:** 'Michael.Rozycki@aventis.com'  
**Cc:** Staten, Ann M  
**Subject:** Request re: Taxotere for Adj. Breast CA

Request from our medical reviewer:

For the patients found with metastatic disease at baseline:

- Please explain if patients # 12212, 20613, 21204 and 25501 had biopsy confirmation of metastatic disease at baseline.
- Please explain which regional lymph nodes were found metastatic and method of diagnosis for patients # 24507, 18302 and 26807.

Dotti Pease  
Chief, Project Management Staff  
Division of Oncology Drug Products, HFD-150  
301-594-5742/301-594-0498 (fax)



**Pease, Dorothy W**

---

**From:** Cortazar, Patricia  
**Sent:** Thursday, July 01, 2004 5:14 PM  
**To:** Pease, Dorothy W  
**Subject:** Info request to sponsor of NDA 20449 (taxotere adjuvant breast)

Dotti:

Please send the following information request to Aventis.

Thanks

For the patients found with metastatic disease at baseline:

- Please explain if patients # 12212, 20613, 21204 and 25501 had biopsy confirmation of metastatic disease at baseline.
- Please explain which regional lymph nodes were found metastatic and method of diagnosis for patients # 24507, 18302 and 26807.

Patricia Cortazar, MD  
Medical Officer  
Division of Oncology Drug Products  
FDA

**Staten, Ann M**

---

**From:** Staten, Ann M  
**Sent:** Thursday, June 17, 2004 12:43 PM  
**Subject:** 'Michael.Rozycki@aventis.com'  
Taxotere information request s-029

Hi Mike,

Here is another request from the medical officer.

Thanks,  
Ann

**Please complete the following information:**

- **Patient # 30806 past history of neoplasm other than breast carcinoma from Table #15. Could not find the data from listings L08A or L08B.**
- **Patient # 10703 had negative margins according to listing L04. Please explain discrepancy between Table 14 and L04.**
- **Patients#s: 11302, 12608, 26604, 13612, 17404 and 26608 had positive margins. Please confirm if these patients had additional surgery and or radiotherapy.**
- **Sites of distant metastases at diagnoses for patients# 12212 (from L04 page 456), 27302, 20613, 21204, 25501.**

**Staten, Ann M**

---

**From:** Staten, Ann M  
**Sent:** Wednesday, June 16, 2004 1:20 PM  
**Subject:** 'Michael.Rozycki@aventis.com'  
Taxotere NDA information request  
**Importance:** High

Dear Mike,

We have the following additional information request to be submitted:

**Table 2 Distribution of patients randomized by treatment and length of follow-up.**

Length of Follow-up (months)TAC Arm	FAC Arm	All Patients	
< 12			
12-to <18			
18- to <24			
24- to <30			
30 to <36			
36 to <42			
42 to <48			
48 to <54			
54 to <60			
> 60			

Thanks  
Ann

**Staten, Ann M**

---

**From:** Staten, Ann M  
**Sent:** Tuesday, June 15, 2004 4:01 PM  
**To:** 'Michael.Rozycki@aventis.com'  
**Subject:** NDA 20-449/S-029 Taxotere question

Dear Michael,

We have the following information request:

Please explain the discrepancy between the number of patients per STUDY SITE/COUNTRY (Argentina, Canada, Egypt, Hungary, South Africa, Sweden, UK and USA) from "Randomized patients by Country", page 818 and "List of principal investigators", page 1295 of the Clinical Study Report.

Sincerely,  
Ann

SEI-029-BB

DUPLICATE RECEIVED

JUN 04 2004

CDR / CDER



June 3, 2004

RECEIVED

JUN - 8 2004

DDR-150/CDER

Food and Drug Administration  
Attention: Richard Pazdur, M.D.  
Director, Division of Oncology Drug Products (HFD-150)  
Center for Drug Evaluation and Research  
Food and Drug Administration  
Woodmont 2 Document Room  
1451 Rockville Pike  
Rockville, Maryland 20852

SEI-029-BB

**Supplemental NDA 20-449/S-029: TAXOTERE® (docetaxel) Injection Concentrate**

**Amendment to Pending Supplemental NDA**

*Pharmacokinetics Data for Final Report, Study XRP6976D/1001*

Dear Dr. Pazdur:

With this letter, Aventis Pharmaceuticals Inc. (Aventis) is submitting pharmacokinetics data in SAS format for the final report for Study XRP6976D/1001, a pharmacokinetic interaction study of TAXOTERE® in combination with doxorubicin and cyclophosphamide, as an amendment to the pending supplemental New Drug Application (sNDA) 20-449/S-029. The sNDA was submitted to the FDA on March 17, 2004, and the report for Study XRP6976D/1001 was submitted on May 26, 2004.

In accordance with the FDA's January 1999 "Guidance for Industry - Providing Regulatory Submissions in Electronic Format - NDA," this submission consists of an original cover letter and Form FDA 356h, and one (1) CD-ROM containing the entire submission contents, as described in the table on the following page.

The approximate size of this electronic submission is 1.4 MB. The CD-ROM has been scanned and found to be free of any known computer viruses (Norton Antivirus Corporate Edition, Program Version 7.50.846, Scan Engine 4.1.0.6; Virus Definition File version 60602q, dated 6/2/2004).



DUPLICATE

May 26, 2004

Food and Drug Administration  
Attention: Richard Pazdur, M.D.  
Director, Division of Oncology Drug Products (HFD-150)  
Center for Drug Evaluation and Research  
Food and Drug Administration  
Woodmont 2 Document Room  
1451 Rockville Pike  
Rockville, Maryland 20852

NDA SUPPL AMEND  
5-1-029-133

RECEIVED  
MAY 27 2004  
DDR-150/CDER

**Supplemental NDA 20-449/S-029: TAXOTERE® (docetaxel) Injection Concentrate**

**Amendment to Pending Supplemental NDA**

*Final Report for Pharmacokinetics Study XRP6976D/1001*

Dear Dr. Pazdur:

With this letter, Aventis Pharmaceuticals Inc. (Aventis) is submitting a Final Report for Study XRP6976D/1001, a pharmacokinetic interaction study of TAXOTERE® in combination with doxorubicin and cyclophosphamide, as an amendment to the pending supplemental New Drug Application (sNDA) 20-449/S-029. This sNDA was submitted to the FDA on March 17, 2004 and contained clinical efficacy and safety data to support approval for TAXOTERE in combination with doxorubicin and cyclophosphamide for the adjuvant treatment of patients with operable, node-positive breast cancer.

Reference is made to the subject sNDA, and to an August 22, 2003 submission by Aventis to IND 35,555 (Serial No. 1115), in which Aventis proposed to submit the final report for study XRP6976D/1001 after the initial submission of the sNDA, but before the end of May 2004. On August 26, 2003, the FDA replied that it was in agreement with this timeframe.

Study XRP6976D/1001 was a multicenter, open-label, cross-over, randomized pharmacokinetic study of doxorubicin in combination with cyclophosphamide, with or without TAXOTERE (TAC versus AC treatments), in the treatment of advanced breast cancer patients. The results of this study showed that the pharmacokinetics of doxorubicin and cyclophosphamide were unchanged across the AC and TAC treatments, and that TAXOTERE pharmacokinetics in the presence of cyclophosphamide and doxorubicin were unchanged compared to monotherapy. Overall, no pharmacokinetic interaction was evidenced.

**MEMORANDUM OF TELEPHONE CONVERSATION  
DIVISION OF ONCOLOGY DRUG PRODUCTS**

**DATE:** August 16, 2004 (2:00pm-2:30pm)

**SUBJECT:** NDA 20-449/S-029 Taxotere (docetaxel)

**Discussion:**

Dr. Mortimer was consulted regarding the supplemental application for Taxotere in combination with doxorubicin and cyclophosphamide for the adjuvant treatment of patients with operable node-positive breast cancer. Dr. Mortimer concurred with the Division's decision to approve this application.

Ann Staten, RD  
Regulatory Health Project Manager

Patricia Cortazar, MD  
Medical Reviewer

Attachment: FDA review summary (handout)

-----  
**This is a representation of an electronic record that was signed electronically and  
this page is the manifestation of the electronic signature.**  
-----

/s/

-----  
Ann Staten

8/17/04 12:44:33 PM

CSO



**MEMORANDUM OF TELEPHONE CONVERSATION  
DIVISION OF ONCOLOGY DRUG PRODUCTS**

**DATE:** August 13, 2004 (3:30pm-4:00pm)

**SUBJECT:** NDA 20-449/S-029 Taxotere (docetaxel)

**Discussion:**

Dr. Martino was consulted regarding the supplemental application for Taxotere in combination with doxorubicin and cyclophosphamide for the adjuvant treatment of patients with operable node-positive breast cancer. Dr. Martino concurred with the Division's decision to approve this application.

Ann Staten, RD  
Regulatory Health Project Manager

Patricia Cortazar, MD  
Medical Reviewer

Attachment: FDA review summary (handout)

-----  
**This is a representation of an electronic record that was signed electronically and  
this page is the manifestation of the electronic signature.**  
-----

/s/

-----  
Ann Staten  
8/17/04 12:38:27 PM  
CSO

**MEMORANDUM OF TELEPHONE CONVERSATION  
DIVISION OF ONCOLOGY DRUG PRODUCTS**

**DATE:** August 12, 2004 (11:30am-12:00pm)

**SUBJECT:** NDA 20-449/S-029 Taxotere (docetaxel)

**Discussion:**

Natalie Compagni Portis was consulted regarding the supplemental application for Taxotere in combination with doxorubicin and cyclophosphamide for the adjuvant treatment of patients with operable node-positive breast cancer. Ms. Portis concurred with the Division's decision to approve this application.

Ann Staten, RD  
Regulatory Health Project Manager

Patricia Cortazar, MD  
Medical Reviewer

Attachment: FDA review summary (handout)

-----  
**This is a representation of an electronic record that was signed electronically and  
this page is the manifestation of the electronic signature.**  
-----

/s/

-----  
Ann Staten  
8/17/04 12:49:55 PM  
CSO

## **INTERNAL MEETING MINUTES**

**MEETING DATE:** August 19, 2003

**IND/NDA** IND 35,555 Meeting Request Submission Date: July 2, 2003 (NB01)  
FDA Response Date: July 8, 2003  
Briefing Document Submission Date: July 29, 2003 (NB07)  
August 22, 2003 (NB15)

**DRUG:** Taxotere (docetaxel)

**SPONSOR/APPLICANT:** Aventis

### **TYPE of MEETING:**

1. Pre-sNDA
2. Indication: Taxotere in combination with doxorubicin and cyclophosphamide, is indicated for the adjuvant treatment of patients with operable breast cancer

### **FDA PARTICIPANTS:**

Richard Pazdur, MD, Director, Division of Oncology Drug Products  
Ramzi Dagher, M.D., Medical Team Leader  
Patricia Cortazar, MD, Medical Reviewer  
Kevin Ridenhour, MD, Medical Reviewer  
Peiling yang, PhD, Statistician  
Gary Gensinger, Office of Information Management  
Sophia Abraham, Ph.D., Clinical Pharmacology Reviewer  
Ann Staten, RD, Project Manager  
Farid Berhammou for Justina Molzon, CTD consultant

### **MEETING OBJECTIVES:**

To discuss the format and content of the sNDA electronic submission in ICH-CTD format and general regulatory considerations.

**BACKGROUND:** Following the internal pre-meeting on 8-19-03, FDA's response was sent to the sponsor via E-mail on 8-21-03 (attached). The sponsor requested clarification from the Clinical Pharmacology reviewer (serial number B15) and after receiving our 8-26-03 response (attached), Aventis cancelled the meeting since further clarification was not needed.

### **ACTION ITEMS:**

There were no unresolved issues or discussion points.

IND 35,555  
Page 2

\_\_\_\_\_/\_\_\_\_\_  
Ann Staten                      Date  
Project Manager  
Minutes preparer

Concurrence Chair: \_\_\_\_/\_\_\_\_\_  
Patricia Cortazar, M.D.                      Date  
Medical Reviewer

Attachments: FDA e-mails dated 8-21-03 and 8-26-03

**Staten, Ann M**

---

**From:** Staten, Ann M  
**Sent:** Thursday, August 21, 2003 9:22 AM  
**To:** Michael. Rozycki (Michael.Rozycki@aventis.com)  
**Subject:** IND 35,555 Responses to Adj Breast meeting package  
**Importance:** High

Please refer to you submissions dated July 2 and 29, 2003 requesting a pre-sNDA meeting.

Attached are the FDA answers to your questions. You have the option of canceling our meeting of August 27, 2003 if these answers are clear to you. If you choose to have the meeting, we will be prepared to clarify any questions you have regarding our responses. However, please note that if there are any major changes to your development plan (based upon our responses herein), we will not be prepared to discuss, nor reach agreement on, such changes at the meeting. Any modifications to the development plan, for which you would like FDA feedback, should be submitted as a new meeting request. Please let me know as soon as possible if you are canceling the meeting.

Sincerely,

Ann

8/21/2003

## General Application Content and Format

1. This application will be prepared in the Common Technical Document (CTD) format. The Table of Contents of the application, in CTD format, is attached as Appendix A in this briefing document for the meeting.

*Does the Agency agree that the overall format for the planned application should allow for efficient review?*

**FDA Response: Yes.**

2. Aventis does not plan to submit any separate document equivalent to the former Item 10 (Statistical) of the NDA. All the statistical information will be included in the individual study reports in Module 5.

*Does the FDA concur with this approach?*

**FDA Response: Yes**

3. For studies TAX316 and GEICAM 9805, case report forms will be provided for deaths (all deaths related to study treatment, or deaths that occurred during the treatment phase or within 30 days after the last infusion of study treatment) and for each patient who discontinued due to an adverse event.

*Does the FDA concur with this approach?*

**FDA Response: No. Please submit narratives, CRF and CRT from all deaths for our review. CRF and CRT from patients who died from disease progression are important to confirm the primary endpoint. CRT and CRF should also be provided for all patients who died of any cause during the study or within 30 days after the last dose of study drug.**

4. It is anticipated that the key information for an assessment of safety in the adjuvant treatment setting for breast cancer will be generated out of study TAX316; interim data will be provided from study GEICAM 9805. However, to comply with the CTD requirement for inclusion of the Periodic Safety Update Report (PSUR), Aventis proposes the following approach:
  - PSURs that were already submitted via inclusion within the approved NDA supplement S-018 (first-line, non-small cell lung carcinoma) will be referenced to the previous submission.
  - Additional PSURs issued subsequent to the submission of supplement S-018 will be included within the planned electronic sNDA for adjuvant treatment of breast cancer.

*Does the FDA agree with this approach to compliance with the requirement for including PSURs?*



**FDA Response: Yes.**

5. Due to the fact that early breast cancer does not occur in pediatric patients, it is proposed that the requirement for inclusion of pediatric data be waived in conjunction with the submission of the subject planned supplementary application.

*Given the status of the pediatric rule, should Aventis plan to include a waiver request within the planned application? If so, does the FDA agree with this waiver request?*

**FDA Response: FDA is currently enjoined from enforcing the Pediatric Rule. Therefore, a waiver request is not applicable at this time.**

### **Electronic Submission**

6. Aventis intends to submit the TAXOTERE sNDA as an electronic NDA (e-NDA) in accordance with the January 1999 Guidance for Industry, "Providing Regulatory Submissions in Electronic Format – NDA" and the August 2001 Guidance for Industry, "Submitting Marketing Applications According to ICH-CTD Format – General Considerations". Each modular component of the CTD will be mapped to a corresponding e-NDA folder. As an example, a proposed Table of Contents for CTD Module 5 is included after the overall CTD Table of Contents in Appendix A. In the Table of Contents for Module 5, the column on the left shows the CTD module 5 structure, while the column on the right shows the file name and file path of each component document within the e-NDA folder structure.

*Does the FDA have any specific recommendations or requests for the electronic submission that could ease the review?*

**FDA Response: The proposed submission of electronic data is apparently adequate. Raw data should be submitted in SAS transport format. Submission of all primary datasets in a usable format is a critical element of the electronic submission. It will be helpful if we can take a look at a sample of the datasets, before the NDA submission.**

7. Case report forms (CRFs) will be submitted electronically as bookmarked PDF files; data correction forms (DCF) will be provided at the front of each CRF. The DCFs will be bookmarked, however, there will be no hyperlink from the DCF to the corresponding page of the CRF.

*Does the FDA concur with this approach?*

**FDA Response: Yes. We prefer to have hyperlinks, however, they are not required.**

8. SAS data sets for TAX316 and GEICAM 9805 will be provided at the time of the submission in a SAS transport file format (.XPT) as defined by logical panels, e.g., efficacy (TAX316 only), adverse events, laboratory tests, etc. These data sets will

include original CRF data as well as derived data. An example of the define.pdf document is attached in Appendix F. (It is expected that 4 files will have a size greater than 50 MB: Adverse Events (65 MB), Laboratory Data (95 MB), Prior and Concomitant Medications (100 MB), and Other Procedures (55MB)).

*8a. Included in Appendix G is a user dataset documentation example. Does the FDA agree that this format will meet the reviewer's needs?*

**FDA Response:** Please provide electronic SAS formats that you created for efficacy variables (i.e., Format Library). Please submit a sample of the efficacy raw and derived datasets before the NDA submission.

*8b. Aventis plans to provide the analysis programs for the analysis of Disease-Free Survival (DFS) and Overall Survival (OS) in a format that will allow execution of the programs using a SAS PC version 8.2. Does the FDA agree with this plan?*

**FDA Response:** Yes

*8c. Do FDA personnel agree that further dialogue on dataset presentation, programs, and CRFs that would be expressly designed to ensure mutual understanding of the optimal format to ease application review, should take place in short-term follow-up to the pre-sNDA meeting?*

**FDA Response:** Yes

9. Referring to the FDA Guidance for Industry, "Providing Regulatory Submissions in Electronic Format – NDA" (January 1999) [Page 50], Aventis does not plan to include any Patient Profiles with this submission.

*Does the FDA agree with this plan?*

**FDA Response:** Yes. However, during the review we may ask for specific analyses that arise.

10. Data will be submitted electronically as SAS datasets. Therefore, it is not planned to submit patient listings which would present the raw data and derived data from all patients. However, we will provide supportive patient listings for selected summary tables (e.g., listings of deaths occurring within 30 days from last infusion). These patient listings will be provided electronically in SAS data sets; it is not planned to provide paper copies of any listings.

*Does the FDA agree with this proposal?*

FDA Response:

Yes. Please also include the following:

- all patients who died of any cause during the study or within 30 days after the last dose of study drug.
- All patients who dropped out during the course of the trial in association with any adverse experience, whether or not thought to be drug related.

Additional FDA Request:

- Please include in your submission all of the raw data in a SAS transport file format from which the derived efficacy variables are calculated.
- Please include in your submission the electronic SAS programs that produced (a) all derived efficacy variables from the raw data, and (b) all of the efficacy results.

#### **TAX316 Analysis and Safety Data Presentation**

11. It is planned that the analyses of adverse events will include treatment-emergent adverse events (adverse events that developed or worsened in severity during treatment), and all adverse events that occurred under treatment irrespective of whether they occurred before treatment started. Aventis understands that both concepts for the evaluation of adverse events are important. The primary and comprehensive analysis of safety will be based on the "treatment-emergent" principle, and this analysis will comprise the basis upon which conclusions will be drawn regarding the safety profile of TAXOTERE within the investigational arm of the pivotal trial. However, the results of all adverse events will be presented as well to ensure an adequate description and conclusion of the safety profile of the investigational arm. The table in Appendix H displays the planned analyses with regard to the principle of treatment-emergent adverse events (TEAEs) or all adverse events (ALL AE's).

*Does the FDA agree that the proposed safety analyses will allow for an objective assessment of TAXOTERE associated adverse events, and that these analyses will address the needs of FDA Review Staff?*

FDA Response: Yes.

12. It should be noted that for purposes of draft labeling submission, Aventis plans to base labeling on treatment-emergent adverse events that are "clinically meaningful". The judgment of which adverse events are "clinically meaningful" will be made by analyzing those that occurred in patients in the TAXOTERE arm in TAX316 with respect to frequency and severity. Specific safety domains, including rare events or events deemed class-specific for the drugs used in the combination, will be considered. It is the Sponsor's intention to present in labeling those adverse events that facilitate prescriber recognition of important toxicities associated with this therapeutic regimen in

the adjuvant treatment setting for breast cancer. TAXOTERE has been marketed since 1996; thus, its general safety profile is well appreciated and is reflected in the current label. The Sponsor's intention to focus on clinically meaningful events in the adjuvant treatment setting for breast cancer is also to avoid additional "long and exhaustive lists" of adverse events that have the potential to be added to this label for this indication and the additional planned indications. This intention is concordant with the May 2000 FDA Draft Guidance on the adverse reaction section of the labeling. Recent announcements by FDA policy staff, in general, support this direction.

*Does the FDA agree that the treatment-emergent adverse event analyses output may be acceptable for presentation within the proposed product labeling?*

FDA Response: Yes. You may make a proposal regarding what is clinically meaningful. However, FDA will evaluate all adverse events to reach a conclusion regarding the safety profile of docetaxel within this setting. Determination of what is clinically meaningful is a review issue.

### **GEICAM Study 9805 Interim Safety Data**

13. GEICAM 9805 is an on-going study, from which interim safety data of the same treatment regimen used in the pivotal study, TAX316, will be submitted in order to provide as complete information as possible on the benefit/risk profile of the TAC treatment regimen. The Sponsor expects the submitted safety data for study GEICAM 9805 to include, but not be limited to:

- Patient demographics at baseline – overall statistics
- Existing signs and symptoms at baseline – overall statistics
- Treatment exposure and dosing – overall statistics
- Treatment discontinuations – overall statistics and patient listings
- All adverse events under treatment and treatment-emergent adverse events -- overall statistics:
  - Overview
  - Grading
  - Adverse events leading to discontinuations
  - Adverse events starting or worsening during follow-up
- Fever, neutropenia, infection, and other specific toxicities – overall statistics
- Serious adverse events - overall statistics and listings of events
- Deaths – overall statistics, listings of events, and causes of death

The Sponsor plans to report the safety results for studies TAX316 and GEICAM 9805 from separate databases.

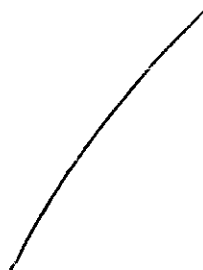
***Does the FDA agree that the proposed submission of safety data for study GEICAM 9805 would be of value to the FDA in assessing the overall benefit/risk profile of TAXOTERE in the adjuvant treatment setting for breast cancer?***

**FDA Response: Yes.**

#### **Pharmacokinetic Studies**

14. The planned application will include information that supports a conclusion that there is no pharmacokinetic interaction between docetaxel and the other drugs in the TAC combination. Contained within Appendix I of this submission is the protocol for study XRP6976D/1001 (*A pharmacokinetic interaction study of docetaxel (RP56976, TAXOTERE) 75 mg/m<sup>2</sup> i.v. on the combination therapy doxorubicin (50 mg/m<sup>2</sup> i.v.) and cyclophosphamide (500 mg/m<sup>2</sup> i.v.) in the treatment of advanced breast cancer*), submitted to IND 35,555 on May 30, 2003 (Serial No. 1096), to examine for the potential pharmacokinetic interaction between docetaxel and doxorubicin/cyclophosphamide. This ongoing study was designed to collect such data from a total of twenty-four (24) patients. At the time of the submission of the subject application, it is anticipated that pharmacokinetic data from twenty-four (24) patients will not be available. Therefore, it is proposed that a final report for study XRP6976D/1001 will be submitted after the initial submission of the subject sNDA. Aventis proposes to submit this report before the end of May, 2004. This report would include all safety, pharmacokinetics, and efficacy data for all patients.

In addition, supportive pharmacokinetic reports for the following additional studies will be included in the sNDA submission.



The Sponsor does not regard studies \_\_\_\_\_ as being capable, separately or in combination, of confirming the absence of an interaction among all of the constitutive agents of the TAC treatment regimen. Hence, the Sponsor does not plan to include case report forms or SAS datasets containing tabulations of analytical results (including, but not limited to, efficacy, safety, dosing, and baseline demographics results) with the submission. The Sponsor will provide available data to FDA reviewers upon request.

**Does the FDA agree that the submission of pharmacokinetic information as described above will be useful in assessing potential pharmacokinetic interactions?**

**FDA Response:**

Yes, we agree that Study XRP6976D/1001 is useful in assessing potential pharmacokinetic interactions between docetaxel and the other drugs in the TAC combination. Therefore, we strongly recommend that you include the final report and results for Study XRP6976D/1001 in the sNDA submission at the time of submission.

**APPEARS THIS WAY  
ON ORIGINAL**

**APPEARS THIS WAY  
ON ORIGINAL**

## **OTHER FDA COMMENTS:**

### **REGULATORY**

#### **1. NDA/sNDA Presentations to CDER's Division of Oncology**

The Center for Drug Evaluation and Research's Division of Oncology Drug Products implemented an initiative in which we request an NDA/sNDA applicant to present their NDA/sNDA to Division personnel shortly after NDA/sNDA submission and before the expected NDA/sNDA filing date. This initiative allows the applicant to present an overview of the entire NDA/sNDA to the review team and interested Division personnel.

These presentations are generally expected to last one hour followed by a half-hour question and answer session. The applicant, not consultants, should present important information on each technical aspect (i.e., clinical, statistical, CMC, pre-clinical pharmacology and toxicology, and clinical pharmacology and biopharmaceutics) of the NDA/sNDA. In addition to providing an overview of the NDA/sNDA, the applicant should present their reasons for why the Division or the Office of Drug Evaluation I should approve their NDA/sNDA.

Please contact your Project Manager shortly after NDA/sNDA submission to schedule a date for your presentation. Alternatively, you may provide available dates in the cover letter of your NDA/sNDA and we will try to accommodate them.

#### **2. Financial Disclosure Final Rule**

We remind you of the requirement to collect the information on all studies that the FDA relies on to establish that the product is effective and any study in which a single investigator makes a significant contribution to demonstration of safety.

Please refer to the March 20, 2001 "*Guidance for Industry: Financial Disclosure By Clinical Investigators*" (posted on the Internet 3/27/2001) at <http://www.fda.gov/oc/guidance/financialdis.html>.

#### **3. Pediatric Exclusivity**

The pediatric exclusivity provisions of FDAMA as reauthorized by the Best Pharmaceuticals for Children Act are not affected by the court's ruling. Pediatric studies conducted under the terms of section 505A of the Federal Food, Drug, and Cosmetic Act may result in additional marketing exclusivity for certain products. You should refer to the Guidance for Industry on Qualifying for Pediatric Exclusivity (available on our web site at [www.fda.gov/cder/pediatric](http://www.fda.gov/cder/pediatric)) for details. If you wish to qualify for pediatric exclusivity you should submit a "Proposed Pediatric Study

Request". FDA generally does not consider studies submitted to an NDA before issuance of a Written Request as responsive to the Written Request. Applicants should obtain a Written Request before submitting pediatric studies to an NDA.

#### 4. DEMOGRAPHICS

In response to a final rule published 2-11-98, the regulations 21 CFR 314.50(d)(5)(v) and 314.50(d)(5)(vi)(a) were amended to require sponsors to present safety and effectiveness data "by gender, age, and racial subgroups" in an NDA. Therefore, as you are gathering your data and compiling your NDA, we request that you include this analysis. To assist you in this regard, the following table is a suggestion for presentation of the numeric patient demographic information. This data, as well as the pertinent analyses, should be provided in the NDA.

Please provide information for each category listed below from the primary safety database excluding PK studies.

CATE GORY	NUMBER EXPOSED TO STUDY DRUG	NUMBER EXPOSED TO STUDY DRUG	NUMBER EXPOSED TO STUDY DRUG
Gen- der	Males	All Females	Females >50
Age:	0-≤1 Mo.	>1 Mo.-≤ 2Year	>2-≤12
	12-16	17-64	≥65
Race:	White	Black	Asian
	Other		





DEPARTMENT OF HEALTH & HUMAN SERVICES

Public Health Service

Food and Drug Administration  
Rockville, MD 20857

NDA 20-449/S-029

**PRIOR APPROVAL SUPPLEMENT**

Aventis Pharmaceuticals, Inc.  
200 Crossing Boulevard  
P.O. Box 6890  
Bridgewater, NJ 08807-0890

Attention: Michael Rozycki, Ph.D.  
Director, US Regulatory Affairs

Dear Dr. Rozycki:

We have received your supplemental drug application submitted under section 505(b) of the Federal Food, Drug, and Cosmetic Act for the following:

Name of Drug Product: Taxotere® (docetaxel) for Injection Concentrate, 20 mg and 80 mg

NDA Number: 20-449

Supplement number: S-029

Review Priority Classification: Priority (P)

Date of supplement: March 17, 2004

Date of receipt: March 17, 2004

This supplemental application proposes the following change: Taxotere® in combination with doxorubicin and cyclophosphamide for the adjuvant treatment of patients with operable node-positive breast cancer.

Unless we notify you within 60 days of the receipt date that the application is not sufficiently complete to permit a substantive review, we will file the application on May 16, 2004 in accordance with 21 CFR 314.101(a). If the application is filed, the user fee goal date will be September 17, 2004.

Under 21 CFR 314.102(c), you may request an informal conference with this Division (to be held approximately 90 days from the above receipt date) for a brief report on the status of the review but not on the ultimate approvability of the application. Alternatively, you may choose to receive a report by telephone.

All applications for new active ingredients, new dosage forms, new indications, new routes of administration, and new dosing regimens are required to contain an assessment of the safety and effectiveness of the product in pediatric patients unless this requirement is waived or deferred. We note that you have not fulfilled the requirements. We acknowledge receipt of your request for a waiver of pediatric studies for this application. Once the application has been filed we will notify you whether we have waived the pediatric study requirement for this application.

All communications concerning this supplement should be addressed as follows:

U.S. Postal Service:

Center for Drug Evaluation and Research  
Division of Division of Oncology Drug Products, HFD-150  
Attention: Division Document Room, 3067  
5600 Fishers Lane  
Rockville, Maryland 20857

Courier/Overnight Mail:

Food and Drug Administration  
Center for Drug Evaluation and Research  
Division of Oncology Drug Products, HFD-150  
Attention: Document Room 3067  
1451 Rockville Pike  
Rockville, Maryland 20852

If you have any questions, call Ann Staten, Regulatory Project Manager, at (301)594-0490.

Sincerely,

*{See appended electronic signature page}*

Dotti Pease  
Chief, Project Manager Staff  
Division of Oncology Drug Products

-----  
**This is a representation of an electronic record that was signed electronically and  
this page is the manifestation of the electronic signature.**  
-----

/s/  
-----

Ann Staten

4/15/04 10:52:02 AM

Signed for Dotti Pease

# Fax

**DIVISION OF ONCOLOGY DRUG PRODUCTS**  
Center for Drug Evaluation and Research, HFD-150  
Parklawn Building  
5600 Fishers Lane, Rockville, MD 20857



**To:** Martha Prossner, Aventis

**From:** Ann Staten, Project Manager

**Fax:** 908-304-6317

**Fax:** 301-827-4590

**Phone:** 908-231-3841

**Phone:** 301-594-5770

**Pages:** 1

**Date:** April 26, 2002

**Re:** IND 35,555 -TAX316 serial number 1009 (3-28-02)

☐ Urgent    ☐ For Review    ☐ Please Comment    ☐ Please Reply    ☐ Please Recycle

THIS DOCUMENT IS INTENDED ONLY FOR THE USE OF THE PARTY TO WHOM IT IS ADDRESSED AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL AND PROTECTED FROM DISCLOSURE UNDER APPLICABLE LAW. If you are not the addressee, or a person authorized to deliver the document to the addressee, you are hereby notified that any review, disclosure, dissemination or other action based on the content of the communication is not authorized. If you have received this document in error, please immediately notify us by telephone and return it to us at the above address by mail. Thank you.

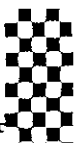
Dear Martha:

The review is complete and we have the following statistical comments:

1. The changes made in the SAP/protocol regarding the nominal significance levels used in the remaining analyses (the second interim and the final analyses) are acceptable.
2. The Agency would like to emphasize that any efficacy claim will be solely based on the primary statistical analysis of the primary endpoint. Other analyses, such as Cox model and modified Kolmogorov-Smirnov test, may be regarded as supportive only if the primary analysis demonstrates a statistically significant result; otherwise, such analyses will be regarded as exploratory. All pre-specified covariates should be included in the Cox model.

Sincerely,

Ann



## INTERNAL MEETING MINUTES

**MEETING DATE:** February 11, 2002

**IND/NDA** IND 35,555 Meeting Request Submission Date: December 17, 2001 (N987)  
Briefing Document Submission Date: January 22, 2002 (993)

**DRUG:** Taxotere (docetaxel)

**SPONSOR/APPLICANT:** Aventis

### TYPE of MEETING:

1. Other; guidance on statistical analysis plan

### FDA PARTICIPANTS:

Donna Griebel, MD, Medical Team Leader  
Ramzi Dagher, M.D., Medical Reviewer  
Ning Li, Ph.D., Statistician  
Dotti Pease for Ann Staten, RD, Project Manager

### MEETING OBJECTIVES:

1. To discuss the statistical strategy for an additional interim analysis and the consequences for the final analysis (TAX 316 Taxotere in combination with doxorubicin and cyclophosphamide as adjuvant treatment of operable breast cancer w/positive axillary nodes.)

**BACKGROUND:** Following the internal pre-meeting on 2-11-02, FDA's responses were sent to the sponsor in a facsimile dated 2-12-02 (attached). The sponsor requested that the meeting be cancelled since clarification was not needed.

### ACTION ITEMS:

There were no unresolved issues or discussion points.

\_\_\_\_\_/\_\_\_\_\_  
Ann Staten Date  
Project Manager  
Minutes preparer

Concurrence Chair: \_\_\_\_/\_\_\_\_\_  
Ramzi Dagher, M.D. Date  
Medical Reviewer

# Fax



## DIVISION OF ONCOLOGY DRUG PRODUCTS

Center for Drug Evaluation and Research, HFD-150  
Parklawn Building

**To:** Martha Propsner, Aventis

**From:** Ann Staten, Project Manager

**Fax:** 908-231-3716

**Fax:** 301-827-4590

**Phone:** 908-231-3841

**Phone:** 301-594-5770

**Pages:** 2

**Date:** February 12, 2002

**Re:** IND 35,555; serial no. 987 and 993

☐ **Urgent**    ☐ **For Review**    ☐ **Please Comment**    ☐ **Please Reply**    ☐ **Please Recycle**

THIS DOCUMENT IS INTENDED ONLY FOR THE USE OF THE PARTY TO WHOM IT IS ADDRESSED AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL AND PROTECTED FROM DISCLOSURE UNDER APPLICABLE LAW. If you are not the addressee, or a person authorized to deliver the document to the addressee, you are hereby notified that any review, disclosure, dissemination or other action based on the content of the communication is not authorized. If you have received this document in error, please immediately notify us by telephone and return it to us at the above address by mail. Thank you.

Dear Ms. Propsner,

Attached are the FDA answers to your questions. You have the option of canceling our meeting of February 14, 2002 if these answers are clear to you. If you choose to have the meeting, we will be prepared to clarify any questions you have regarding our responses. However, please note that if there are any major changes to your development plan (based upon our responses herein), we will not be prepared to discuss, nor reach agreement on, such changes at the meeting. Any modifications to the development plan, for which you would like FDA feedback, should be submitted as a new meeting request. Please let me know as soon as possible if you are canceling the meeting.

Questions:

1. Does the Agency agree on the proposed strategy for interim and final analyses for the TAX 316 study?

FDA Response: Since the first interim analysis used an alpha of 0.001, there is 0.049 left for the rest of the analysis. We suggest the sponsor use either one of the following approaches to adjust the overall alpha:

- a. You can split 0.049 for the remaining two analyses (Say 0.001 and 0.048)
- b. Use O'Brien-Fleming's method for the rest of the two analyses based upon 0.049 level.

2. Aventis believes that positive results from the TAX 316 additional analysis (as proposed in the enclosed SAP amendment) would support a claim for the use of Taxotere in combination with doxorubicin and cyclophosphamide as adjuvant treatment of operable breast cancer with

IND 35,555

Does the Agency agree?

FDA : This will be a review issue.

3. With respect to the regulatory assessment of the future filing, does the Agency have any concern with the plan to disseminate the October 2001 interim analysis results by the non-Aventis members of the Steering Committee at the next ASCO meeting?

FDA : From a regulatory perspective, we have no grounds for objecting to this planned presentation.

Please call me with any questions.

Sincerely,

Ann

-----  
**This is a representation of an electronic record that was signed electronically and  
this page is the manifestation of the electronic signature.**  
-----

/s/

-----  
Ann Staten

2/21/02 11:13:33 AM

Ramzi Dagher

2/21/02 11:37:34 AM